

# Pecyn Dogfennau Cyhoeddus

Penallta House,  
Tredomen Park,  
Ystrad Mynach,  
Hengoed CF82 7PG

Ty Penallta,  
Parc Tredomen,  
Ystrad Mynach,  
Hengoed CF82 7PG



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Am unrhyw ymholiad yn ymwneud â'r agenda hwn cysylltwch â Emma Sullivan  
(Rhif Ffôn: 01443 864420 Ebst: [sullie@caerphilly.gov.uk](mailto:sullie@caerphilly.gov.uk))

**Dyddiad: Dydd Mercher, 13 Tachwedd 2019**

Bydd y cyfarfod hwn yn cael ei ffilmio a'i we-ddarlledu, ac ar gael i'w weld yn fyw ac mewn ffurf archif drwy wefan y Cyngor, ag eithrio trafodaeth yn ymwneud ag eitemau cyfrinachol neu eithriadau. Felly bydd delweddau /sain yr unigolion sy'n arsylwi neu siarad/roi tystiolaeth yng nghyfarfodydd Llawn y Cyngor ar gael yn gyhoeddusi bawb weld drwy'r we-ddarllediad ar wefan y Cyngor [www.caerffili.gov.uk](http://www.caerffili.gov.uk).

Mae croeso i chi siarad Cymraeg yn y cyfarfod, mae angen rhybudd cyfnod lleiafswm o 3 diwrnod gwaith os ydych yn dymuno gwneud hynny. Bydd cyfieithu ar y pryd yn cael ei ddarparu os gofynnir amdano.

Mae pob cyfarfod pwyllgor ar agor i'r Wasg a'r Cyhoedd, gofynnir i arsylwyr a chyfranogwyr i ymddwyn gyda pharch ac ystyriaeth at eraill. Nodwch bydd methu a gwneud hyn yn arwain at rywun yn gofyn i chi adael y cyfarfod ac efallai byddwch yn cael eich hebrwng o'r eiddo.

Annwyl Syr/Fadam,

Bydd cyfarfod y **Cyngor** yn cael ei gynnal yn **Siambwr y Cyngor - Tŷ Penallta, Tredomen, Ystrad Mynach** ar **Dydd Mawrth, 19eg Tachwedd, 2019** am **5.30 pm** i ystyried materion a gynhwysir yn yr agenda canlynol.

Yr eiddoch yn gywir,

**Christina Harrhy**  
PRIF WEITHREDWR DROS DRO

## AGENDA

Tudalennau

- 1 I dderbyn ymddiheuriadau am absenoldeb
- 2 Datganiadau o Ddiddordeb.

A greener place Man gwyrddach



Atgoffi'r Cyngorwyr a Swyddogion o'u cyfrifoldeb personol i ddatgan unrhyw fuddiannau personol a/neu niweidiol mewn perthynas ag unrhyw eitem o fusnes ar yr agenda hwn yn unol â Deddf Llywodraeth Leol 2000, Cyfansoddiad y Cyngor a'r Cod Ymddygiad ar gyfer Cyngorwyr a Swyddogion.

- |   |  |       |
|---|--|-------|
| 3 | Ethol Arweinydd y Cyngor a nodi bod cyflog uwch yn cael ei dalu. |       |
| 4 | Cyhoeddiadau'r Maer.   | 1 - 2 |
| 5 | I dderbyn deisebau o dan Reol Gweithdrefn 28(3).                 |       |
| 6 | Cyflwyno Gwobrau.  |       |

I gymeradwyo a llofnodi'r cofnodion canlynol:-

- |    |   |         |
|----|---|---------|
| 7  | Cyngor Arbennig a gynhaliwyd ar 3 Hydref 2019.  | 3 - 6   |
| 8  | Cyngor ar 23 Hydref 2019.   | 7 - 20  |
| 9  | Cyngor Arbennig a gynhaliwyd ar 28 Hydref 2019.                                       | 21 - 24 |
| 10 | I dderbyn ac i ateb cwestiynau a dderbyniwyd o dan Reol Gweithdrefn 10(4).            |         |
| 11 | Rhybudd o Gynnig - Strategaeth Genedlaethol ar gyfer Atal Marwolaeth Gardiaidd Ifanc. | 25 - 30 |

I dderbyn ac ystyried yr adroddiadau canlynol o gyfarfod Cabinet a gynhaliwyd ar 16eg Hydref 2019.

- |    |                                     |         |
|----|-------------------------------------|---------|
| 12 | Adroddiad Gwella Blynyddol 2018/19. | 31 - 70 |
|----|-------------------------------------|---------|

I dderbyn ac ystyried yr adroddiad(au) canlynol:-

- |    |   |         |
|----|---|---------|
| 13 | Recriwtio ar gyfer Swydd y Prif Weithredwr. | 71 - 82 |
|----|---|---------|

### **Cylchrediad:**

Pob Aelod a Swyddog Priodol

### **SUT FYDDWN YN DEFNYDDIO EICH GWYBODAETH**

Bydd yr unigolion hynny sy'n mynychu cyfarfodydd pwyllgor i siarad/roi tystiolaeth yn cael eu henwi yng nghofnodion y cyfarfod hynny, weithiau bydd hyn yn cynnwys eu man gweithio neu fusnes a'r barnau a fynegir. Bydd cofnodion o'r cyfarfod gan gynnwys manylion y siaradwyr ar gael i'r cyhoedd ar wefan y Cyngor ar [www.caerffili.gov.uk](http://www.caerffili.gov.uk), ac eithrio am drafodaethau sy'n ymwneud ag eitemau cyfrinachol neu eithriedig.

Mae gennych nifer o hawliau mewn perthynas â'r wybodaeth, gan gynnwys yr hawl i gael mynediad at wybodaeth sydd gennym andanoch a'r hawl i gwyno os ydych yn anhapus gyda'r modd y mae eich gwybodaeth yn cael ei brosesu.

Am wybodaeth bellach ar sut rydym yn prosesu eich gwybodaeth a'ch hawliau, ewch i'r Hysbysiad Preifatrwydd Cyfarfodydd Pwyllgor Llawn ar ein gwefan <http://www.caerffili.gov.uk/CaerphillyDocs/FOI/PrivacyNotices/CommitteeMeetingsPrivacyNotice.aspx> neu cysylltwch â Gwasanaethau Cyfreithiol drwy e-bostio [griffd2@caerffili.gov.uk](mailto:griffd2@caerffili.gov.uk) neu ffoniwch 01443 863028.

Gadewir y dudalen hon yn wag yn fwriadol



## CIVIC DIARY ENGAGEMENTS

24<sup>th</sup> October – 19<sup>th</sup> November 2019

### October

- 24<sup>th</sup> PE Display, Blackwood School
- 24<sup>th</sup> GCSE/A Level Art Exhibition, St Martin's School
- 25<sup>th</sup> Comrades Dinner with Islwyn Comrades RBL
- 26<sup>th</sup> Launch of RBL Poppy Appeal
- 26<sup>th</sup> Concert, Risca Salvation Army
- 30<sup>th</sup> Bedwas Writers Circle

### November

- 2<sup>nd</sup> Help for Heroes Event, Caerphilly
- 6<sup>th</sup> Remembrance Service, Abercarn Primary School
- 6<sup>th</sup> 'Be Proud of your Community' Gwent Awards Ceremony
- 7<sup>th</sup> Annual Awards Evening, St Martin's School
- 8<sup>th</sup> Remembrance Service, Fochriw
- 8<sup>th</sup> Summer Reading Scheme Award Presentation, St Helen's School
- 8<sup>th</sup> Visit to TouchBase, Caerphilly by The Princess Royal
- 8<sup>th</sup> Festival of Remembrance, Heolddu School
- 8<sup>th</sup> Armistice Service, Risca
- 9<sup>th</sup> Service of Remembrance, Pontllanfraith
- 10<sup>th</sup> Service of Remembrance, Caerphilly
- 11<sup>th</sup> Service of Remembrance, Ysgol Cwm Gwyddon
- 11<sup>th</sup> 100<sup>th</sup> Birthday Presentation
- 13<sup>th</sup> Launch of Litter Picking Hub, Bargoed Library
- 14<sup>th</sup> Remembrance Assembly, Bedwas High School
- 15<sup>th</sup> Golden Wedding Presentation
- 19<sup>th</sup> Council

Gadewir y dudalen hon yn wag yn fwriadol



## CYNGOR

### Y CYFARFOD CYNGOR A GYNHALIWDYD YN NHŶ PENALLTA, YSTRAD MYNACH AR DDYDD IAU, 3 HYDREF 2019 AM 6.00PM

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#### YN BRESENNOL:

Y Cynghorydd C. Andrews - Dirprwy Faer (Llywyddu)

#### Cynghorwyr:

M. Adams, Mrs E. Aldworth, J. Bevan, C. Bezzina, L. Binding, A. Collis, S. Cook, D. Cushing, C. Cuss, W. David, D.T. Davies, K. Dawson, C. Elsbury, M. Evans, A. Farina-Childs, Mrs C. Forehead, Ms E. Forehead, A. Gair, N. George, C. Gordon, R.W. Gough, L. Harding, D. Harse, D. Havard, A. Higgs, A. Hussey, V. James, L. Jeremiah, G. Johnston, Mrs B. Jones, S. Kent, G. Kirby, Mrs A. Leonard, Ms P. Leonard, C.P. Mann, Mrs P. Marsden, B. Miles, S. Morgan, Mrs G. Oliver, T. Parry, Mrs L. Phipps, Mrs D. Price, J. Pritchard, J. Ridgewell, J.E. Roberts, R. Saralis, Mrs M.E. Sargent, J. Scriven, G. Simmonds, J. Simmonds, S. Skivens, Mss E. Stenner, J. Taylor, C. Thomas, A. Whitcombe, R. Whiting, T. Williams, W. Williams, B. Zaplatynski

#### Ynghyd â:-

D. Street (Cyfarwyddwr Corfforaethol Gwasanaethau Cymdeithasol), R. Tranter (Pennaeth Gwasanaethau Cyfreithiol a Swyddog Monitro), L. Donovan (Pennaeth Gwasanaethau Pobl), S. Harris (Pennaeth Dros Dro Gwasanaethau Gwella Busnes a Swyddog Dros Dro Adran 151) ac E. Sullivan (Uwch Swyddog Gwasanaethau Pwyllgor)

#### Hefyd yn bresennol:-

J. Bowers (QC), S. Isaacs (Eversheds), M. Griffiths (Eversheds), D. Daycock (Cynrychiolydd Cyfreithiol A. O'Sullivan) ac A. O'Sullivan (Prif Weithredwr Parhaol)

### TREFNIADAU FFILMIO GWE-DDARLLEDU A PHLEIDLEISIO

Atgoffodd y Cyfarwyddwr Corfforaethol Gwasanaethau Cymdeithasol y rheini oedd yn bresennol fod y cyfarfod yn cael ei ffilmio a byddai'n cael ei ddarparu ar gyfer y cyhoedd yn fyw a'i archifo drwy wefan y Cyngor. Dywedwyd wrth yr aelodau y byddai'r bleidlais yn cael ei chynnal trwy'r system bleidleisio electronig.

#### 1. YMDDIHEURIADAU AM ABSENOLDEB

Cafwyd ymddiheuriadau am absenoldeb gan y Cynghorwyr A. Angel, P. Bevan, M. Davies, N. Dix, K. Etheridge, J. Fussell, J. Gale, D. Hardacre, M. James, B. Owen, D.V. Poole, D. Preece

a L. Whittle.

## **2. DATGANIADAU O FUDDIANT**

Hysbysodd y Cynghorwyr M. Adams, D.T Davies, N. George, C. Gordon a J. Taylor y cyfarfod y byddent yn gadael y cyfarfod ar ôl i'r Cynghorydd Gordon a Mr O'Sullivan cyflwyno'u sylwadau i'r cyfarfod a chyn i'r cyngor drafod ei benderfyniad oherwydd roeddent yn aelodau cyfredol o'r Pwyllgor Ymchwilio a Disgyblu (PYD).

Gofynnodd y Cynghorydd S. Morgan am gyngor gan y Swyddog Monitro ynghylch a oedd angen iddo ddatgan buddiant gan ei fod wedi bod yn aelod o'r Pwyllgor Ymchwilio a Disgyblu yn flaenorol. Cadarnhaodd y Swyddog Monitro nad oedd yn fater o ddatgan buddiant ond yn hytrach cwestiwn o degwch gweithdrefnol a, gan gofio nad oedd y Cynghorydd bellach yn Aelod o'r pwyllgor hwnnw, roedd yn hollol dderbyniol iddo aros yn y cyfarfod, cymryd rhan yn y ddatganiad a phleidleisio.

Gofynnodd y Cynghorydd C. Forehead am gyngor gan y Swyddog Monitro gan ei bod wedi bod yn Gadeirydd yr Uwch Bwyllgor Taliadau yn 2012. Cadarnhaodd y Swyddog Monitro, yn yr un modd, nad oedd hyn yn fater o ddatgan budd personol, ond yn hytrach yn fater o degwch y gwrandawriad gerbron y Cyngor heno, a'i fod yn hollol dderbyniol iddi aros yn y cyfarfod.

Gofynnodd y Cynghorydd W. David am gyngor gan y Swyddog Monitro gan ei fod wedi bod yn Gadeirydd y Pwyllgor Ymchwilio a Disgyblu yn flaenorol ond roedd hyn cyn yr achos llys ac ar yr adeg honno roedd wedi ceisio gweithredu'r mecanwaith cychwynnol yn unig ar gyfer y broses sydd ar y gweill. Cadarnhaodd y Swyddog Monitro fod hon yn sefyllfa debyg yn yr ystyr nad oedd yn ddatganiad buddiant fel y cyfryw, ac o ystyried yr amser rhwng dechrau'r broses i'r cyfarfod heddiw, ac nad oedd y Cynghorydd David wedi cymryd unrhyw ran mewn unrhyw gyfarfod PYD dilynol, roedd yn hollol dderbyniol ei fod yn aros yn y cyfarfod.

Ar yr adeg hon gofynnodd Mr Daycock, ar ran Mr O'Sullivan, bod dau Gynghorydd yn cael eu gwahardd o'r cyfarfod a dywedwyd wrtho y dylid cyflwyno unrhyw sylwadau o'r fath fel rhan o'i gyflwyniadau ar ôl ystyried y Prawf Budd y Cyhoedd.

## **3. MATER EITHRIEDIG**

Ystyriodd yr Aelodau dystysgrif prawf budd y cyhoedd gan y Swyddog Priodol a daethant i'r casgliad bod, ar gyfartaledd, y budd i'r cyhoedd o gynnal yr eithriad yn bwysicach na'r budd i'r cyhoedd o ddatgelu'r wybodaeth. Cytunwyd ar hyn yn unfrydol drwy godi dwylo.

PENDERFYNWYD, yn unol ag Adran 100A (4) o Ddeddf Llywodraeth Leol 1972, fod y cyhoedd yn cael ei wahardd o weddill y cyfarfod oherwydd y byddai'n debygol y datgelir gwybodaeth eithriedig iddynt fel y nodwyd ym mharagraff 12 o Ran 4 o Atodlen 12A i Ddeddf Llywodraeth Leol 1972.

## **4. YMCHWILIAD DISGYBLU MEWN PERTHYNAS Â'R PRIF WEITHREDWR.**

Ar ôl ystyried y ddogfennaeth ger ei bron yn ofalus, a gwranddo'n ofalus ar sylwadau'r Cynghorydd Gordon a Mr O'Sullivan a'i gwnsler, cynigiwyd ac eiliwyd y dylid cadarnhau argymhelliad y Pwyllgor Ymchwilio a Disgyblu a gwrthod yr apêl, trwy gyfrwng y system bleidleisio electronig ac wrth nodi bod 49 o blaid, 1 yn erbyn ac 1 yn ymatal, cytunwyd ar hyn gan y mwyafrif a oedd yn bresennol.

PENDERFYNWYD diswyddo'r Prif Weithredwr o gyflogaeth y Cyngor heb rybudd am gamymddwyn difrifol.



Terfynwyd y cyfarfod am 22.34pm

Wedi'i gymeradwyo fel cofnod cywir ac yn amodol ar unrhyw newidiadau neu gywiriadau a gytunir arnynt ac a gofnodir yng nghofnodion y cyfarfod a gynhelir ar 19 Tachwedd 2019 ac fe'u llofnodwyd gan y Dirprwy Faer.

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Dirprwy FAER

Gadewir y dudalen hon yn wag yn fwriadol



## CYNGOR

### COFNODION Y CYFARFOD A GYNHALIWDYD YN NHŶ PENALLTA, YSTRAD MYNACH AR DDYDD MERCHER, 23AIN HYDREF 2019 AM 5.30PM

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#### PRESENNOL:

Y Cyngorydd J. Simmonds - Maer

#### Y Cynghorwyr:

M. Adams, Mrs E. Aldworth, A. Angel, J. Bevan, C. Bezzina, A. Collis, S. Cook, C. Cuss, W. David, M. Davies, D.T. Davies, N. Dix, C. Elsbury, M. Evans, A. Farina-Childs, A. Gair, J. Gale, N. George, C. Gordon, D.T. Hardacre, L. Harding, D. Harse, D. Havard, M. James, M. James, L. Jeremiah, G. Johnston, Mrs B. Jones, G. Kirby, Mrs A. Leonard, C.P. Mann, P. Marsden, S. Morgan, Mrs G. Oliver, B. Owen, T. Parry, Mrs L. Phipps, D.V. Poole, D.W.R. Preece, Mrs D. Price, J. Pritchard, J. Ridgewell, R. Saralis, G. Simmonds, S. Skivens, Mrs E. Stenner, J. Taylor, C. Thomas, A. Whitcombe, R. Whiting, L G. . Whittle, T. Williams, B. Zaplatynski.

#### Ynghyd â:-

C. Harrhy (Prif Weithredwr Dros Dro), M.S. Williams (Cyfarwyddwr Corfforaethol Dros Dro Cymunedau), R. Edmunds (Cyfarwyddwr Corfforaethol Addysg a Gwasanaethau Corfforaethol), D. Street (Cyfarwyddwr - Gwasanaethau Cymdeithasol a Thai), R. Tranter (Pennaeth Gwasanaethau Cyfreithiol a Swyddog Monitro), D. Lucas (Arweinydd Tîm, Cynllunio Strategol), R Kyte (Pennaeth Adfywio a Chynllunio), R. Roberts (Rheolwr Gwella Busnes), P Cooke (Uwch Swyddog Polisi) L. Lane (Pennaeth Gwasanaethau Democraidd a Dirprwy Swyddog Monitro) S. Harris (Pennaeth Dros Dro Gwasanaethau Gwella Busnes a Swyddog S151 Dros Dro) a C. Evans (Swyddog Gwasanaethau Pwyllgor).

#### **DIWEDDARIAD - Y CYNGHORYDD KEVIN ETHERIDGE**

Fe wnaeth y Maer ddymuno'n dda ac adferiad buan i'r Cyngorydd Etheridge ar ran y Cyngor.

#### **TREFNIADAU FFILMIO GWE-DDARLLEDU A PHLEIDLEISIO**

Fe wnaeth y Prif Weithredwr Dros Dro atgoffa'r rhai a oedd yn bresennol bod y cyfarfod yn cael ei ffilmio ac y byddai ar gael i'r cyhoedd ar ffurf fyw ac archifol trwy wefan y Cyngor. Dywedodd hi y byddai penderfyniadau'n cael eu gwneud trwy'r system bleidleisio electronig.

#### **1. YMDDIHEURIADAU AM ABSENOLDEB**

Derbyniwyd ymddiheuriadau am absenoldeb gan y Cynghorwyr Mrs C. Andrews, P.J. Bevan, L. Binding, D. Cushing, K. Etheridge, Mrs C. Forehead, E. Forehead, J. Fussell, R. Gough, A. Higgs, A. Hussey, V. James, S. Kent, P. Leonard, B. Miles, J. Roberts, M. Sargent, J. Scriven a T. Williams.

## **2. CYHOEDDIADAU'R MAER**

Fe wnaeth y Maer amlinellu'r digwyddiadau yr oedd ef a'r Dirprwy Faer wedi'u cynnal ers cyfarfod diwethaf y Cyngor a chyfeiriodd yr Aelodau at y rhestr o ymrwymadau a gynhwysir yn Eitem Agenda Rhif 2 o becyn yr agenda.

Fe wnaeth y Maer gyfeirio'r Aelodau at rai ymweliadau allweddol y mae wedi'u gwneud, a oedd yn cynnwys Rownd Derfynol Genedlaethol Menter Ifanc Cymru lle'r oedd 2 Ysgol o Gaerffili yn cymryd rhan.

Fe wnaeth y Maer ddiolch i'r Aelodau a'r Swyddogion am eu cymorth a'u presenoldeb yn ei Ddigwyddiad Gwasanaeth Dinesig ym mis Gorffennaf, a oedd yn llwyddiant mawr.

Fe wnaeth y Cyngor nodi presenoldeb y Maer yn y cyflwyniad BEM lle dyfarnwyd medal i'r cyn-Gynghorydd Leon Gardiner a gofynnwyd i'r Aelodau nodi bod digwyddiad Help the Heroes [Helpwch yr Arwyr] wedi'i drefnu yng Nghanolfan Siopa Castle Court [Cwrt y Castell] ar 2il Tachwedd, lle anogodd y Maer gynifer o Gynghorwyr, Swyddogion ac aelodau o'r cyhoedd â phosibl i fynychu a chefnogi'r Arwyr.

## **3. I DDERBYN DEISEBAU DAN REOL GWEITHDREFN 28 (3)**

Nid oedd unrhyw ddeisebau.

## **4. CYFLWYNO GWOBRAU**

Statws Rhagorol Adroddiad Estyn

Fe wnaeth yr Aelod Cabinet dros Addysg a Chyflawniad gyhoeddi, yn dilyn Arolygiadau Estyn diweddar, bod tair o ysgolion y fwrdeistref; Ysgol Gynradd Trinant, Ysgol a Chanolfan Adnoddau Cae'r Drindod ac Ysgol Gynradd Rhisga statws rhagorol mewn tri maes neu fwy.

Fe ddywedwyd wrth y Cyngor fod y Penaethiaid, staff a llywodraethwyr yn yr ysgolion yn gwbl ymroddedig i ddarparu'r addysg orau i'w disgyblion, a ddangosir yn eu cyflawniadau academaidd ac heb fod yn academaidd flwyddyn ar ôl blwyddyn. Yn y seremoni ddiweddar Gwobrau Blynyddol Estyn, cyflwynwyd tystysgrif i bob ysgol i nodi'r gwaith caled a'r ymrwymiad sydd y tu ôl i'r cyflawniad hwn. Fe wnaeth yr Aelod o'r Cabinet groesawu Ian Elliot, Jayne Arthur, Sian James, Mike Cook, Ffion Green a Linda Harris a gofynnodd iddynt gamu ymlaen fel y gallai'r Cyngor gydnabod eu gwobrau.

Gwobrau Diogelwch ar y Ffyrdd

Fe wnaeth yr Aelod Cabinet dros yr Economi, Seilwaith a Chynaliadwyedd gyhoeddi bod Mr Lynn Griffiths, Pennaeth Ysgol Gynradd Gymraeg Caerffili, wedi ennill Gwobr Diogelwch ar y Ffyrdd am ei ymrwymiad llwyr i gefnogi "bws cerdded" ei ysgol.

Dywedwyd wrth y Cyngor fod Mr Griffiths, bob dydd Gwener am y 15 mlynedd diwethaf, wedi "gyrru" ei ddisgyblion i'r ysgol ac o'r ysgol. Nid yn unig mae hyn wedi helpu i leihau tagfeydd o amgylch yr ysgol, ond mae hefyd wedi cyfrannu at iechyd a lles cannoedd o ddisgyblion, y y gorffennol ac yn y presennol, ac yn bwysicaf oll mae wedi sicrhau eu bod yn gwybod ac yn deall elfennau diogelwch ar y ffyrdd. Mae ei frwdfrydedd wedi bod yn heintus. Mae ei staff a'i blant wedi mwynhau cymryd rhan yn y "bws cerdded", sydd erbyn hyn yn rhan annatod o ddiwylliant yr ysgol ac yn parhau i redeg bob dydd Gwener. Fe wnaeth yr Aelod o'r Cabinet

groesawu Mr Lynn Griffiths gan ofyn iddo gamu ymlaen fel y gallai'r Cyngor gydnabod ei wobwr.

Gwobrau Cyn-filwyr Cymru, Categori'r Cymunedau

Fe wnaeth yr Aelod Cabinet dros Wasanaethau Corfforaethol gyhoeddi bod Lisa Rawlings wedi ennill Arian yng Nghategori'r Cymunedau yn y Gwobrau Cyn-filwyr Cymru cyntaf erioed yn gynharach eleni.

Fe wnaeth y Cyngor nodi bod degau o filoedd o gyn-bersonél milwrol sydd, ar ôl ymddeol o'r Lluoedd Arfog, wedi mynd ymlaen i gyfrannu'n aruthrol i'r ardaloedd y maent yn byw ac yn gweithio ynddynt. Mae Gwobrau Cyn-filwyr Cymru wedi'u sefydlu i wobrwyo cyn-filwyr am eu cyflawniadau ym myd busnes, chwaraeon ac ar lefel ehangach.

Fe wnaeth yr Aelod o'r Cabinet ddweud bod Lisa wedi gwasanaethu am 25 mlynedd yn y Llu Awyr Brenhinol a'i bod yn Rheolwr Gweithredol Hedfan. Fe wnaeth hi wasanaethu ledled y byd gan gynnwys yng Ngogledd Iwerddon ac Affghanistan. Ers mis Medi 2017, mae Lisa wedi gweithio i Gyngor Bwrdeistref Sir Caerffili fel Swyddog Cyswllt Cyfamod y Lluoedd Arfog Rhanbarthol ar gyfer Rhanbarth Gwent, gan gefnogi'r pum awdurdod lleol yn yr ardal i ymgorffori'r Cyfamod yn eu gweithrediadau.

Mae Lisa wedi gweithio'n ddiflino, yn ei rôl o fewn yr Awdurdod ac mewn gwaith allanol, i hyrwyddo ac amddiffyn hawliau personél milwrol. Mae hi wedi chwarae rhan bwysig wrth sicrhau bod yr Awdurdod wedi cyflawni gwobr Aur o dan y Cynllun Cydnabod Cyflogwyr Amddiffyn a gaiff ei chyflwyno fis nesaf ac yn ddiweddar mae Lisa wedi gweithio â Chyngor Cymuned Bedwas, Trethomas a Machen, Cadwch Gymru'n Daclus a'r Gatrawd Parasiwt i godi arian ar gyfer gardd goffa er anrhydedd y Swyddog Gwarantedig 2 Michael Williams, ffrind a anafwyd yn angheuol yn drasig wrth weithredu yn erbyn y Taliban yn Nyffryn Sangin Uchaf yn 2008. Fe wnaeth yr Aelod o'r Cabinet groesawu Lisa Rawlings gan ofyn iddi gamu ymlaen fel y gallai'r Cyngor gydnabod ei gwobr.

Rose Brown, MBE a Gwobrau Gofal Cymru

Fe wnaeth yr Aelod o'r Cabinet dros Ofal Cymdeithasol a Lles gyhoeddi bod aelod o staff yn y Gyfarwyddiaeth Gwasanaethau Cymdeithasol wedi derbyn MBE.

Fe ddywedwyd wrth y Cyngor fod Rose Brown wedi cychwyn yng Nghyngor Sir Gwent dros 30 mlynedd yn ôl, gan symud ymlaen i fod yn Rheolwraig Cynllun Cysylltu Bywydau De Ddwyrain Cymru yn 2010. Mae Rose yn angerddol am Gysylltu Bywydau ac mae'n gwbl benderfynol o gyflenwi a chefnogi gofalwyr ac unigolion Cysylltu Bywydau mewn modd hyderus, angerddol, pwrpasol a hyblyg. Mae'r cynllun hwn yn darparu cymorth i oedolion ag anghenion gwahanol ac yn gwneud gwahaniaeth enfawr i'w bywydau. Ers iddo ddechrau yn 2010 mae'r cynllun hwn wedi ennill enw da iawn ar draws yr awdurdodau lleol, Llywodraeth Cymru a Chysylltu Bywydau Plws.

Fe nodwyd bod Rose wedi arwain y tîm yn llwyddiannus ers iddo gychwyn yn 2010 ac fe'i henwebwyd am MBE am ei hangerdd, ymrwymiad a chyflawniadau'r cynllun dros y 9 mlynedd diwethaf. Fe ddyfarnwyd gwobr arian i Rose mewn Arweinyddiaeth a Rheolaeth yn y Categori Byw â Chymorth a Grŵp Bach yng Ngwobrau Gofal Cymru a chroesawodd yr Aelod o'r Cabinet Rose Brown gan ofyn iddi gamu ymlaen fel y gallai'r Cyngor gydnabod ei dyfarniad.

## **5. DATGANIADAU O FUDDIANT**

Fe wnaeth y Cynghorydd D. Hardacre ddatgan buddiant yn Eitem Rhif 12 yr Agenda - Ombwdsmon Gwasanaethau Cyhoeddus Cymru - Adroddiad Blynyddol a Llythyr Blynyddol 2019/19. Cofnodir y manylion â'r eitem berthnasol.

**6. Y CYNGOR - 4YDD MEHEFIN 2019**

PENDERFYNWYD, yn amodol ar nodi bod y Cynghorydd J. Bevan yn bresennol, bod cofnodion y Cyngor a gynhaliwyd ar 4ydd Mehefin 2019 yn cael eu cymeradwyo fel cofnod cywir ac fe'u llofnodwyd gan y Maer.

**7. CYFARFOD ARBENNIG Y CYNGOR - 30 GORFFENNAF 2019**

PENDERFYNWYD, yn amodol ar nodi bod y Cynghorydd J. Bevan yn bresennol, bod cofnodion Cyfarfod Arbennig y Cyngor a gynhaliwyd ar 30ain Mehefin 2019 yn cael eu cymeradwyo fel cofnod cywir ac fe'u llofnodwyd gan y Maer.

**8. DERBYN AC ATEB CWESTIYNAU A DDERBYNIWYD O DAN REOL GWEITHDREFN 10 (2)**

I Arweinydd y Cyngor gan y Cynghorydd Graham Simmonds:

A all yr Arweinydd gadarnhau a yw'r weinyddiaeth yn cadw at Egwyddorion Nolan, sef didwylledd a thryloywder?

Ymateb gan yr Arweinydd

Mewn ymateb i'r cwestiwn, cadarnhawyd bod y weinyddiaeth yn cadw at Egwyddorion Nolan, sef didwylledd a thryloywder, a bod dyletswydd gyfreithiol i weithredu'n foesebol fel aelodau etholedig. Wrth gael eu hethol, mae aelodau'n llofnodi'r datganiad derbyn, gan roi ymrwymiad ysgrifenedig i gadw at y cod ymddygiad ar gyfer aelodau, sy'n cael ei atgyfnerthu gan y 'fframwaith moesegol' a elwir fel arall yn 'egwyddorion Nolan'. Mae'r egwyddorion yn cynnwys Anhunanoldeb; Gonestrwydd; Uniondeb a Phriodoldeb; Dyletswydd i gynnal y Gyfraith; Stiwardiaeth; Gwrthrychedd wrth wneud penderfyniadau; Cydraddoldeb a pharch; Diffuantrwydd; Atebolrwydd ac Arweinyddiaeth.

Fe wnaeth Arweinydd Dros Dro y Cyngor gadarnhau ei bod yn gyfforddus ac yn hyderus bod cydweithwyr y cabinet a hi ei hunan yn cadw'n llawn at yr egwyddorion yn eu gwaith i wella ansawdd bywyd dinasyddion y fwrdeistref sirol.

**9. RHYBUDD O GYNNIG - GWAHARDDIAD AR ANIFEILIAID FEL GWOBRAU AR DIR BWRDEISTREF SIROL CAERFFILI**

Ystyriwyd y Rhybudd o Gynnig a dderbyniwyd gan y Cynghorydd J. Pritchard ac a gefnogwyd gan y Cynghorwyr Mrs E.M. Aldworth, W. David, P. Bevan, L. Whittle, G. Kirby, S. Morgan, A. Hussey, Mrs B. Jones, Mrs T. Parry, R. Gough, A. Farina-Childs, C. Cuss, G. Johnston, B. Owen, K. Etheridge, C. Mann, Mrs D. Cushing, A. Whitcombe, Mrs D. Price, Mrs A. Gair, Mrs C. Andrews, Mrs P. Marsden, Mrs A. Leonard, A. Collis, A. Angel, Mrs P. Leonard, L. Jeremiah, W. Williams, A. Higgs, S. Morgan, S. Cook, J. Simmonds, J. Taylor, T.J. Williams, D.W.R Preece a Mrs Eluned Stenner. Fe nodwyd bod y cynnig wedi cael ei ystyried gan Bwyllgor Craffu'r Amgylchedd a Chynaliadwyedd ar 17eg Medi 2019 a'i fod wedi'i gefnogi gan ei Aelodau.

Fe wnaeth y Cynghorydd Pritchard ofyn yn ei Rybudd o Gynnig bod y Cyngor yn:

- (i) Gwahardd rhoi anifeiliaid byw fel gwobrau yn llwyr, ar unrhyw ffurf, ar dir Cyngor Bwrdeistref Sir Caerffili.
- (ii) Ysgrifennu at Lywodraeth Cymru, gan annog gwaharddiad llwyr ar roi anifeiliaid byw fel gwobrau ar dir cyhoeddus a phreifat yng Nghymru.

Fe nodwyd bod y Rhybudd o Gynnig yn diwallu'r meini prawf a gyflwynir yng Nghyfansoddiad y Cyngor ac yn unol â Rheolau Gweithdrefn y Cyngor y'i cyfeirir bellach at Graffu i'w ystyried.

Fe wnaeth yr aelodau gefnogi'r rhybudd o gynnig a cheisiwyd eglurhad ynghylch a ellid cael gwaharddiad llwyr ar draws y Fwrdeistref gan gynnwys tir preifat, a'r pwerau gorfodi sydd gan y Cyngor i gynnal y gwaharddiad. Fe wnaeth swyddogion esbonio nad yw deddfwriaeth Llywodraeth Cymru yn caniatáu gosod gwaharddiad ledled y Fwrdeistref, fodd bynnag mae gan y Cyngor yr awdurdod i weithredu gwaharddiad ar dir sy'n eiddo i'r Cyngor. Fe wnaeth swyddogion ychwanegu nad oes proses orfodi ar hyn o bryd i roi dirwyon neu atafaelu anifeiliaid a gynigir fel gwobrau.

Ar ôl ystyried yr adroddiad yn llawn, cynigiwyd ac eiliwyd bod yr argymhellion a gynhwysir o fewn adroddiad y Swyddog yn cael eu cymeradwyo trwy ddefnyddio'r system bleidleisio electronig a chytunwyd yn unfrydol ar hyn.

PENDERFYNWYD: -

- (i) Y dylai gwaharddiad llwyr ar roi anifeiliaid byw fel gwobrau, ar unrhyw ffurf, ar Dir Cyngor Bwrdeistref Sir Caerffili gael ei weithredu;
- (ii) Y dylid anfon llythyr at Lywodraeth Cymru, gan annog gwaharddiad llwyr ar roi anifeiliaid byw fel gwobrau ar dir cyhoeddus a phreifat yng Nghymru.

## 10. RHYBUDD O GYNNIG - YMGYRCH #PLEDGE2TALK

Fe roddwyd ystyriaeth i Rybudd o Gynnig a dderbyniwyd gan y Cynghorwyr B. Jones, S. Morgan, C. Gordon, C. Cuss, Mrs E. Stenner, Mrs L. Phipps, N. George a Mrs P. Marsden.

Fe nodwyd bod y Maer, yn unol â Rheol 11 (3) y Cyfansoddiad, wedi cytuno i ganiatáu i'r cynnig gael ei drin yn y Cyngor, heb gael ei drafod gyntaf mewn pwyllgor trosolwg a chraffu.

Fe wnaeth y Cynghorydd B. Jones ofyn yn ei Rhybudd o Gynnig bod y Cyngor yn:

- (i) Cefnogi'r ymgyrch atal hunanladdiad #IPledge2Talk ac y bydd yn gweithio i gefnogi iechyd meddwl cadarnhaol yng Nghymunedau'r Cyngor, mewn ysgolion, cymdogaethau a gweithleoedd lleol;
- (ii) Sicrhau bod Lles Meddwl yn flaenoriaeth ar draws holl feysydd cyfrifoldeb yr awdurdod lleol;
- (iii) Penodi'r Cynghorydd C. Gordon (Aelod y Cabinet dros Wasanaethau Corfforaethol) fel 'Hyrwyddwr Iechyd Meddwl' y Cyngor.

I gefnogi'r Rhybudd o Gynnig, fe ddymunodd y Cynghorydd Jones iddo gael ei nodi bod mwy na 300 o bobl yng Nghymru yn marw bob blwyddyn trwy hunanladdiad. Yn 2017, dynion oedd 278 o'r 360 o fywydau a gollwyd. Mae gan bob un ohonom ein rhan i'w chwarae wrth ymestyn allan a chynnig cefnogaeth i'r rhai hynny mewn angen trwy ddarparu cyfle i siarad. Mae llawer o bobl yn teimlo na allant siarad am iechyd meddwl oherwydd y stigma sy'n gysylltiedig â chyfaddef bod problem yn bodoli, mae angen i ni oresgyn hyn a gallwn ni i gyd chwarae rhan wrth helpu eraill i deimlo'n ddigon cyfforddus i geisio cymorth.

Fe lansiodd yr Ymgyrch #Pledge2Talk yn y Senedd yn ddiweddar mewn cydweithrediad â Mind Cymru, ac fel Awdurdod Lleol, rydym yn cydnabod ein rôl hanfodol wrth hyrwyddo ymwybyddiaeth o iechyd meddwl a lles meddyliol.

Dylai annog lles meddyliol fod yn flaenoriaeth ar draws meysydd cyfrifoldeb pob awdurdod lleol, gan gynnwys tai, diogelwch cymunedol a chynllunio.

Fel Cyngor, gallwn ni hyrwyddo iechyd meddwl ar sail unigol a strategol trwy benodi aelod etholedig yn 'Hyrwyddwr Iechyd Meddwl'.

Wrth gefnogi'r Rhybudd o Gynnig, gofynnodd Aelod a gynigir cyllid i Mind Cymru. Fe wnaeth swyddogion gadarnhau bod dyraniad bach o gyllid y sector gwirfoddol i'r elusen.

Fe godwyd ymholiad ynghylch cymorth a gynigir i'r boblogaeth ddigartref a hefyd pobl ifanc o fewn y fwrdeistref. Fe nodwyd bod staff yn y Gwasanaethau Iechyd Meddwl yn gweithio ochr yn ochr â Thai er mwyn mynd i'r afael â'r materion hyn a chynnig cymorth lle mae'n bosibl. O ran pobl ifanc, fe nodwyd bod llawer o waith yn digwydd erbyn hyn mewn ysgolion i godi ymwybyddiaeth o Iechyd Meddwl, ac mae'r Fforwm Iau ac Ieuenctid wedi nodi Cymorth Iechyd Meddwl fel eu prif flaenoriaeth ar gyfer y flwyddyn sydd i ddod. Yn ogystal, mae CAMS yn cynnig cymorth i oedolion ifanc, fodd bynnag mae rhestrau aros ar gyfer gwasanaethau cymorth yn hir.

Ar ôl ystyried yr adroddiad yn llawn, symudwyd ac eiliwyd bod yr argymhellion a gynhwysir o fewn adroddiad y Swyddog yn cael eu cymeradwyo a thrwy godi dwylo fe'i gytunwyd yn unfrydol.

PENDERFYNWYD: -

- (i) Cefnogi'r ymgyrch atal hunanladdiad #IPledge2Talk a gweithio i gefnogi iechyd meddwl cadarnhaol yng Nghymunedau'r Cyngor, mewn ysgolion, cymdogaethau a gweithleoedd lleol;
- (ii) Trefnu bod Lles Meddwl yn flaenoriaeth ar draws holl feysydd cyfrifoldeb yr awdurdod lleol;
- (iii) Penodi'r Cyngorydd C. Gordon (Aelod y Cabinet dros Wasanaethau Corfforaethol) fel 'Hyrwyddwr Iechyd Meddwl' y Cyngor.

## 11. ADRODDIAD PERFFORMIAD BLYNYDDOL 2018/19

Rhoddyd ystyriaeth i'r adroddiad a gyflwynwyd, a gefnogwyd gan y Cabinet ar 16eg Hydref 2019 ac a ddarparodd i'r Cyngor hunanasesiad blynyddol yr Awdurdod o'i berfformiad, yr Adroddiad Perfformiad Blynyddol ar gyfer 2018/19, ac a geisiodd farn a chymeradwyaeth y Cyngor cyn cyhoeddi ar y rhyngrwyd erbyn 31 Hydref 2019.

Mae'r Adroddiad Perfformiad Blynyddol yn ofniad statudol o dan Fesur Llywodraeth Leol (Cymru) 2009, mae'n rhan bwysig o fframwaith perfformiad y Cyngor. Mae'n ofynnol i'r Cyngor asesu ei berfformiad ei hun a darparu darlun cytbwys o'r perfformiad hwnnw i'r cyhoedd. Yn ogystal, rhaid i'r Cyngor hefyd adrodd ar gynnydd ar y chwe Amcan Lles a osododd iddo'i hun yng Nghynllun Corfforaethol 2018-2023. Mae'r adroddiad blynyddol yn cynrychioli cynnydd y flwyddyn gyntaf ar y cynllun pum mlynedd.

Yn ogystal, nodwyd ei fod yn ofynnol i Swyddfa Archwilio Cymru wirio a yw awdurdod wedi cydymffurfio â'r ddyletswydd statudol ac i gyhoeddi 'tystysgrif cydymffurfiaeth' mewn ymateb. Mae'r Adroddiad Perfformiad Blynyddol ynarddangos i Swyddfa Archwilio Cymru sut rydym wedi cyflawni ein dyletswydd.

Fe roddwyd cyflwyniad i'r Cyngor, a oedd yn amlinellu pob un o'r chwe Amcan Lles a'r cynnydd yn ôl pob Amcan.

Fe nodwyd bod rhai o'r cyflawniadau allweddol o dan Amcan Lles 1 (Gwella Cyfleoedd Addysg i Bawb) yn cynnwys; Mae Ysbrydoli i Weithio wedi cefnogi 160 o bobl ifanc (16-24 oed), â 95 ohonynt wedi ennill cymwysterau, 40 wedi ennill cyflogaeth a 9 wedi symud ymlaen i gyfleoedd dysgu pellach; cafwyd cyllid yn llwyddiannus i ddarparu gofal plant ychwanegol



erbyn 2021 â chyfanswm buddsoddiad o £5.1m; mae cyflwyno Rhaglen Band A Ysgolion yr 21ain Ganrif gwerth £56.5m a'r prosiect a lanswyd i fynd i'r afael â Thlodi Cyfnod wedi cael eu gweithredu'n llwyddiannus mewn Ysgolion, mae Urddas Misglwyf yn darparu cynhyrchion am ddim i ferched a menywod ifanc ar draws ysgolion o fewn y Fwrdeistref ac mae'r prosiect hefyd yn anelu at ddod yn rhydd rhag Plastig.

O dan Amcan Lles 2 (Galluogi Cyflogaeth), fe nodwyd bod Rhaglenni Cymunedau am Waith wedi cefnogi 246 o bobl i gael cyflogaeth ledled y fwrdeistref; Dadorchuddiodd y Fargen Ddinesig gynllun 5 mlynedd ar gyfer De-ddwyrain Cymru, â'r amcan economaidd trosfwaol i greu 250,000 o swyddi newydd; fe wnaed gwaith helaeth â ffocws ar ryngweithio â'r Gymuned Fusnes ac mae'r Adran Adfywio wedi cael ei hailstrwythuro, o ganlyniad i newidiadau mewn cyllid ac ansicrwydd ynghylch cyllid yr UE ar ôl Brexit.

Wrth ystyried Amcan Lles 3 (mynd i'r afael ag argaeledd, cyflwr a chynaliadwyedd cartrefi ledled bwrdeistref y sir a darparu cyngor, cymorth neu gefnogaeth i helpu i wella lles pobl), nodwyd bod 6,166 o gartrefi wedi'u cwblhau o dan y raglen Safon Ansawdd Tai Cymru (WHQS); Mae ymweliadau cartref wedi arwain at £628,000 o incwm ychwanegol i denantiaid; benthyciadau a Grantiau sy'n dod i gyfanswm o oddeutu £794,000 i wella cartrefi perchenfeddianwyr ac atal 471 o aelwydydd yn llwyddiannus rhag dod yn ddigartref yn 2018/19. Yn ogystal, fe nododd y Cabinet fod y gwaith WHQS ar y trywydd iawn i'w gwblhau erbyn Mehefin 2020, fodd bynnag ar ôl 2020, gallai fod angen gwneud gwaith ychwanegol o dan agendâu Effeithlonrwydd Ynni. Mae gwaith ar y gweill hefyd i gynyddu nifer y cartrefi fforddiadwy ar draws y fwrdeistref, ynghyd â lleihau nifer yr eiddo gwag, a'u dwyn yn ôl i gylchrediad ac yn addas i'r diben.

Arddangosodd Amcan Lles 4 (Hyrwyddo system drafnidiaeth fodern, integredig a chynaliadwy sy'n cynyddu cyfle, yn hyrwyddo ffyniant ac yn lleihau'r effeithiau andwyol ar yr Amgylchedd) fod yr Awdurdod yn parhau i chwarae rhan flaenllaw wrth lunio a chyflawni'r rhaglen uchelgeisiol Bargaen Ddinesig, a fydd yn cyflenwi pecyn pellgyrhaeddol o fuddion i'r rhanbarth sy'n dod at gyfanswm o £1.2 biliwn mewn buddsoddiad; rydym ymhlith y cyntaf yng Nghymru i gael ein Llwybrau Teithio Gweithredol a'n Mapiau Rhwydwaith Integredig wedi'u cymeradwyo gan Lywodraeth Cymru a bydd Cyfnewidfa Caerffili yn ganolbwynt trafndiaeth a leolir ar frig Tref Caerffili i ddarparu mynediad i fysiau, coetsys, rheilffyrdd a chysylltiadau trafndiaeth eraill i ardaloedd/rhanbarthau eraill. Fe wnaeth swyddogion esbonio mai buddsoddiadau tymor hir yw'r rhain, gan gynnwys nifer o asiantaethau partner i wella cysylltiadau trafndiaeth a hygyrchedd ar draws y Fwrdeistref, yn ogystal â diwallu anghenion amgylcheddol, megis gweithredu pwyntiau llenwi cerbydau Trydan.

Wrth drafod Lles 5 (Creu Bwrdeistref Sirol sy'n cefnogi ffordd iach o fyw) fe nodwyd y bu 1.5m o ymweliadau â pharciau a chefn gwlad, dyma'r lefel uchaf a gofnodwyd hyd yn hyn, a'r gweithgaredd mwyaf poblogaidd oedd cerdded; erbyn hyn mae 40% o ferched yn cymryd rhan mewn chwaraeon a gweithgarwch corfforol o leiaf 3 gwaith yr wythnos ac mae 71% o ferched yn hyderus wrth roi cynnig ar weithgareddau newydd; Mae mabwysiadu Strategaeth Chwaraeon a Hamdden Gweithredol Caerffili (2019-29) ym mis Tachwedd 2018 yn garreg filltir arwyddocaol ac mae 84,000 o gyfranogwyr a gymerodd ran yng ngweithgareddau Chwaraeon Caerffili.

Fe wnaeth swyddogion gyfeirio at Amcan Lles 6 (Cefnogi dinasyddion i aros yn annibynnol a gwella eu lles) ac fe nodwyd bod canlyniadau allweddol wedi cynnwys cefnogi pobl i'w helpu eu hunain trwy ddarparu cyngor a gwybodaeth gan gynnwys cyfeirio at wasanaethau eraill; cael sgysiau ystyrllon i helpu pobl i nodi 'beth sydd o bwys' iddynt i lywio 'eu dewisiadau'; rydym wedi buddsoddi yn system sylfaen we DEWIS Cymru, mae hwn yn adnodd cymorth ar-lein a all eich helpu i feddwl am yr hyn sydd o bwys i chi, ac sy'n rhoi gwybodaeth am y bobl a'r gwasanaethau yn eu hardal.

Wrth drafod Cymhariaeth Mesurau Atebolrwydd Cyhoeddus 2019/20 a nodwyd bod 35 o Fesurau Atebolrwydd Cyhoeddus yn y cyfanswm a osodwyd, y gellir cymharu 19 ohonynt ar

hyn o bryd ledled Cymru, â'r safle wedi'i raddio. O'r rhai hynny gallwn ni gymharu 14 flwyddyn ar ôl blwyddyn yn ôl ein perfformiad yn y flwyddyn flaenorol. Gan fod llawer o'r 35 mesur yn cael eu cymryd o setiau data cenedlaethol eraill, sydd â'u hamserlenni eu hunain, ni fydd llawer ohonynt ar gael i'r PAM a osodwyd tan ddiwedd 2019.

Gofynnwyd i'r Cyngor nodi, yn dilyn adborth gan y Cabinet, mewn cysylltiad â thudalennau 85 ac 86 o'r adroddiad, bod dogfen a gyflwynwyd yn darparu manylion y sylwadau a chymau gweithredu ychwanegol ar gyfer dangosyddion PAM, fel y'i nodwyd mewn coch ar y ddalen, a fyddai'n cael eu cynnwys yng nghyhoeddiad terfynol y ddogfen.

Roedd yr Arweinydd Dros Dro yn dymuno i'w diolchiadau gael eu trosglwyddo i'r holl staff a oedd yn gysylltiedig â chwblhau'r adroddiad a chynigiodd yr argymhellion dros gymeradwyo'r adroddiad.

Wrth ystyried yr adroddiad a'r cyflwyniad, codwyd ymholiadau ynghylch nifer y swyddi a ddyrannwyd o ganlyniad i ddatblygiadau yn Oakdale Plateau [Llwyfandir Glynderw]. Fe wnaeth swyddogion esbonio bod ardaloedd sydd heb eu datblygu o hyd ac nad oeddent yn gallu darparu'r ffigurau yn y cyfarfod ond y byddent yn eu cylchredeg yn dilyn y cyfarfod.

Wrth drafod Amcan Lles 2, codwyd ymholiadau ynghylch y 25k o swyddi i'w creu o ganlyniad i'r Fargen y Ddinesig a cheisiwyd rhagor o wybodaeth am nifer y swyddi a fydd yn aros o fewn y Fwrdeistref. Fe wnaeth swyddogion esbonio y bydd y ffigur uchelgeisiol yn cael ei ledaenu ar draws pob un o'r 10 Awdurdod Lleol.

Roedd trafodaethau ynghylch Amcan Lles 1 a chyrrhaeddiad ar draws Ysgolion Caerffili a chodwyd pryderon bod perfformiad Caerffili yn is na Chyfartaledd Cymru. Esboniodd swyddogion, er bod y sylwadau'n gywir ar gyfer blwyddyn academiaidd 2017/18, mae canlyniadau interim wedi awgrymu gwelliant ar ddata'r flwyddyn flaenorol, fodd bynnag ni fyddai'r ffigurau dilysedig terfynol ar gael tan y flwyddyn newydd.

Wrth ystyried Amcan Lles 3, roedd trafodaethau ynghylch y Rhaglen WHQS ac er y cydnabuwyd y bu gwelliannau sylweddol i gartrefi a chynnydd ar draws y cynllun, derbyniwyd nifer o gŵynion mewn cysylltiad â safonau gwaith, lles, diogelwch a'r amodau y bu disgwyl i denantiaid fyw ynddynt wrth i waith gael ei wneud. Fe wnaeth swyddogion gydnabod y bu nifer o anawsterau yn ystod cyfnod y rhaglen, gan gynnwys colli contractwyr, cwblheir arolygon boddhad defnyddwyr ar ôl cwblhau'r gwaith ac fe'u cynhaliwyd gan Swyddfa Archwilio Cymru (WAO) ac felly mae'r wybodaeth o fewn yr adroddiad yn cynrychioli'r wybodaeth a gasglwyd o'r broses hon.

Fe godwyd ymholiad pellach mewn cysylltiad â'r cyfleoedd cyflogaeth a gynigir o ganlyniad i'r rhaglen ac a oedd unrhyw gynlluniau i ddatblygu unedau busnes llai ar draws y fwrdeistref sy'n debyg i ICE Caerffili. Fe wnaeth swyddogion esbonio bod prosiectau tebyg wedi cael eu datblygu o fewn y Tŷ Weindio a gyda Thai Linc. Yn ogystal, nodwyd bod trosiant mewn unedau busnes wedi gwella â swyddi gwag yn cael eu llenwi'n gyflymach a bydd gwaith adeiladu ychwanegol yn digwydd yn Rhymini a Ffos y Gerddinen, a fydd yn cynyddu portffolio'r Cyngor.

Ar ôl ystyried yr adroddiad yn llawn, cynigiwyd ac eiliwyd y dylid cymeradwyo'r argymhelliad a gynhwysir yn adroddiad y Swyddog a thrwy ddefnyddio'r system bleidleisio electronig, ac wrth nodi bod 48 o blaid ac 1 yn ymatal, cytunwyd yn unfrydol ar hyn.

PENDERFYNWYD y dylid cymeradwyo Adroddiad Perfformiad  
Blynyddol 2018/19 am y rhesymau a gynhwysir yn Adroddiad y Swyddog.

**12. OMBWDSMON GWASANAETHAU CYHOEDDUS CYMRU - ADRODDIAD BLYNYDDOL A LLYTHYR BLYNYDDOL 2018/19**

Fe wnaeth y Cyngorydd D. Hardacre ddatgan buddiant personol yn yr eitem hon fel Cyngorydd Cymunedol ar gyfer Cwm Darran. Er bod y Swyddog Monitro wedi cynghori nad oedd angen datgan buddiant o ystyried natur yr adroddiad, penderfyniad yr aelod ydoedd ac roedd y Cyngorydd Hardacre yn teimlo y byddai datganiad o fuddiant personol yn briodol a dewisodd hefyd beidio â chymryd rhan yn y ddatl na phleidleisio arni.

Rhodddwyd ystyriaeth i'r adroddiad a oedd yn hysbysu'r Cyngor o gyhoeddiad Adroddiad Blynyddol a Llythyr Blynyddol Ombwdsmon Gwasanaethau Cyhoeddus Cymru (2018/19) mewn cysylltiad â'r Cyngor hwn gan Ombwdsmon Gwasanaethau Cyhoeddus Cymru.

Fe nodwyd bod Ombwdsmon Gwasanaethau Cyhoeddus Cymru (PSOW) yn cyhoeddi Llythyr Blynyddol at bob Awdurdod Lleol yng Nghymru sy'n nodi dadansoddiad clir a chryno o'r holl gŵynion a dderbyniwyd ac a ymchwiliwyd gan y PSOW yn ystod 2018/19 sy'n ymwneud yn benodol â'r Awdurdod. Roedd y Llythyr Blynyddol mewn cysylltiad â Chaerffili wedi'i atodi i'r adroddiad yn Atodiad 1.

Fe nododd yr aelodau fod nifer y cwynion a dderbyniwyd gan Ombwdsmon Gwasanaethau Cyhoeddus Cymru mewn cysylltiad â Chaerffili wedi cynyddu i 65 eleni, o'i gymharu â'r 40 a dderbyniwyd y llynedd. O'r 65 cwyn a dderbyniwyd, fe wnaed 26 yn gynamserol (cynnydd o 19 ers y llynedd), roedd 6 allan o awdurdodaeth, a chaewyd 26 achos ar ôl eu hystyried yn y lle cyntaf (cynnydd o 4 ers y llynedd). Yn ogystal, fe nodwyd y bu 9 penderfyniad cynnar ac 1 gŵyn wedi'i chynnal/cadarnhau'n rhannol.

Manylodd yr adroddiad hefyd, mewn cysylltiad â chwynion ynghylch y Cod Ymddygiad a dderbyniwyd gan yr Ombwdsmon, bod 3 cwyn yn ymwneud â Chynghorwyr Bwrdeistref Sirol Caerffili, a chaewyd pob un ohonynt ar ôl eu hystyried yn y lle cyntaf. Fe dderbyniwyd 4 cwyn mewn cysylltiad â Chynghorwyr Tref a Chymuned, ynghylch Cyngor Cymuned Cwm Darran, a chaewyd pob un ohonynt ar ôl eu hystyried yn y lle cyntaf.

Cyfeiriodd yr adroddiad at y Llythyr Blynyddol a oedd yn manylu ar gyflwyno Deddf Ombwdsmon Gwasanaethau Cyhoeddus (Cymru) 2019, sy'n rhoi pwerau newydd â'r nod o wella mynediad i swyddfa'r PSOW; darparu mecanwaith di-dor ar gyfer delio â chwynion pan fydd cysylltiad annatod rhwng gofal GIG claf â gofal iechyd preifat; caniatáu i'r PSOW gynnal ei ymchwiliadau menter ei hun pan fydd angen er budd y cyhoedd a sicrhau y gellir defnyddio data cwynion o bob rhan o Gymru i ysgogi gwelliant mewn gwasanaethau cyhoeddus i ddinasyddion yng Nghymru.

Ar ôl ystyried yr adroddiad yn llawn, cynigiwyd ac eiliwyd bod yr argymhelliad a gynhwysir o fewn adroddiad y Swyddog yn cael eu cymeradwyo trwy ddefnyddio'r system bleidleisio electronig a chytunwyd yn unfrydol ar hyn.

PENDERFYNWYD y dylid nodi cynnwys Adroddiad Blynyddol a Llythyr Blynyddol - Ombwdsmon Gwasanaethau Cyhoeddus Cymru 2018/19.

### **13. CYNNIG AM GYNLLUN DATBLYGU STRATEGOL (SDP) AR GYFER PRIFDDINAS-RANBARTH CAERDYDD**

Fe roddwyd ystyriaeth i'r adroddiad a oedd yn ceisio cytundeb y Cyngor i ddechrau'r broses o baratoi Cynllun Datblygu Strategol ar gyfer Prifddinas-Ranbarth Caerdydd, gan gynnwys ei drefniadau rheoli a'i gyfraniadau cost.

Roedd yr adroddiad yn cyflwyno cynigion i baratoi Cynllun Datblygu Strategol (SDP) ar gyfer y 10 Awdurdod Cynllunio Lleol ym Mhrifddinas-Ranbarth Caerdydd. Mae'r penderfyniad i fwrw ymlaen â'r SDP yn gofyn am gymeradwyaeth gan bob un o'r 10 cyngor yn y ranbarth i awdurdodi'r Awdurdod Cyfrifol i gyflwyno cynnig i baratoi'r SDP i Lywodraeth Cymru. Bydd adroddiad tebyg yn cael ei gyflwyno i bob un o'r 10 Cyngor dros y misoedd nesaf.

Fe nodwyd bod yr SDP yn Gynllun Datblygu Strategol ar lefel ranbarthol sy'n uwch na Chynllun Datblygu Lleol ac yn is na Fframwaith Datblygu Cenedlaethol Llywodraeth Cymru ac mae'n darparu'r fframwaith strategol ar gyfer paratoi LDPau. Mae SDPyn darparu sicrwydd i ddatblygwyr, buddsoddwyr a chymunedau bod penderfyniadau strategol allweddol mewn cysylltiad â darparu tai, trafndiaeth, cyflogaeth a seilwaith yn cael eu cymryd ar lefel ranbarthol briodol, gan barhau i ganiatáu i benderfyniadau allweddol ar gynigion cynllunio gael eu gwneud yn lleol trwy ddyraniadau a pholisïau Cynllun Datblygu Lleol ac wedi hynny mewn penderfyniadau rheoli datblygu.

Fe nododd yr adroddiad rai materion allweddol i'w hystyried o ran paratoi'r SDP, yn benodol: maint y Ffin Ardal Gynllunio Strategol; trefniadau rheoli; cwmpas a chynnwys yr SDP a hyd cyfnod cynllun yr SDP.

Fe nododd y Cyngor fod y broses o baratoi cynllun yn ei wneud yn ofynnol i bennu Awdurdod Cyfrifol i gyflwyno'r cynnig i baratoi'r SDP i Lywodraeth Cymru, ac argymhellir bod Cyngor Bro Morgannwg yn cymryd y rôl hon.

Bydd Panel Cynllunio Strategol newydd yn gyfrifol am baratoi a mabwysiadu'r SDP. Bydd y Panel yn cynnwys cynrychiolwyr etholedig o bob Awdurdod Cynllunio Lleol o fewn ffin Prifddinas-Ranbarth Caerdydd a bydd pob aelod yn cael pleidlais wedi'i phwysoli. Argymhellir bod Aelod y Cabinet dros yr Amgylchedd a Gwarchod y Cyhoedd yn cynrychioli Cyngor Bwrdeistref Sirol Caerffili ar y Panel.

Fe fanylwyd, os bydd pob un o'r 10 Cyngor yn cytuno i fwrw ymlaen â'r SDP, y bydd cynnig ffurfiol yn cael ei baratoi gan yr Awdurdod Cyfrifol a'i gyflwyno i Lywodraeth Cymru. Wedi hynny, bydd LIC yn paratoi'r rheoliadau priodol a bydd y gwaith ar baratoi'r SDP yn cychwyn.

Codwyd pryderon ynghylch yr adnoddau staff a nodwyd ar dudalen 150 a'r amser a'r ymdrech a fydd yn cael eu mewnbynnu i'r Cynllun a'i ddyfodol. Fe wnaeth swyddogion esbonio bod yr adroddiad yn nodi staffio presennol mewn timau Polisi ledled y rhanbarth.

Codwyd pryderon pellach mewn perthynas â'r boblogaeth gynyddol, yn arbennig yn rhanbarth Caerdydd ac a fyddai'r seilwaith a amlinellwyd yn y cynllun yn ddigonol i reoli'r twf a ragwelir yn y boblogaeth. Fe wnaeth swyddogion esbonio y byddai hwn yn bryder rhanbarthol ac yn fater y byddai pob un o'r 10 Awdurdod Lleol a nodir o fewn y rhanbarth yn mynd i'r afael ag ef a'i reoli.

Gofynnwyd am eglurhad ynghylch a fyddai'r SDP yn disodli cynhyrchu Cynllun Datblygu Lleol (LDP). Esboniwyd bod adroddiad pellach i'w ystyried yn nes ymlaen yn y cyfarfod. Fe wnaeth swyddogion amlygu y bydd cyfnod hir o amser nes y gall yr SDP gychwyn, oherwydd nad yw rheoliadau Llywodraeth Cymru ar gael fodd bynnag gellir paratoi LDP ysgafn dim ond pan fydd SDP yn cael ei fabwysiadu. Bydd LDP llawn yn cael ei ystyried yn nes ymlaen yn yr agenda.

Fe nododd yr Arweinydd Dros Dro fod y Cynghorydd Poole yn un o'r ddau Arweinydd yng Nghyd-Gabinet Prifddinas-Ranbarth Caerdydd a oedd yn allweddol wrth sicrhau bod egwyddor SDP ywedi'i chytuno gan y Cyd-Gabinet ac wedi'i chefnogi gan Lywodraeth Cymru. Fe ddiolchodd yr Arweinydd Dros Dro i'r Cynghorydd Poole am ei waith caled wrth yrru hyn ymlaen.

Ar ôl ystyried yr adroddiad yn llawn, cynigiwyd ac eiliwyd y dylid cytuno ar yr argymhellion ynddo a thrwy'r system bleidleisio electronig ac wrth nodi bod 46 o blaid, 1 yn erbyn a 2 wedi ymatal cytunwyd ar hyn gan y mwyaf a oedd yn bresennol.

PENDERFYNWYD: -

(i) y dylid cytuno ar gynnwys yr adroddiad ac awdurdodi'r Awdurdod Cyfrifol i gyflwyno'r Cynnig ar gyfer Cynllun Datblygu Strategol (SDP) ar gyfer Prifddinas-Ranbarth Caerdydd i'r Gweinidog ar ran y 10 Awdurdod Cynllunio Lleol yn y rhanbarth;

(ii) Y cytunir mai Cyngor Bro Morgannwg yw'r Awdurdod Cyfrifol ar gyfer Cynllun Datblygu Strategol Prifddinas-Ranbarth Caerdydd;

(iii) Y cytunir y dylai'r ardal gynllunio strategol gynnwys y 10 ardal awdurdod cynllunio lleol ym Mhrifddinas-Ranbarth Caerdydd fel y'i dangosir ar y map yn Atodiad A yr adroddiad;

(iv) Yr awdurdodir swyddogion perthnasol i ymgysylltu â Llywodraeth Cymru wrth ddrafftio Rheoliadau'r Cynllun Datblygu Strategol i gyflenwi'r trefniadau rheoli dilynol ar gyfer yr SDP a'r Panel Cynllunio Strategol (SPP):

- i. y bydd y Panel Cynllunio Strategol (SPP) yn cynnwys 10 Aelod, 1 o bob Awdurdod Lleol cyfansoddol â phwysoliad pleidleisiau ar gyfer pob Awdurdod Lleol cyfansoddol yn cael ei seilio ar ei boblogaeth a'i ardal ddaearyddol fel y manylir arno yn 3.4i Adroddiad y Swyddogion.
- ii. Yr ymgysylltir â Chynghorau Cyfansoddol trwy broses ymgynghori flaenorol fel y'i nodir yn Ffigur 1 a bydd y SPP yn rhoi sylw llawn i sylwadau'r Cynghorau cyfansoddol.
- iii. Y bydd penderfyniadau a wneir ar yr SDP gan yr SPP yn gofyn am gworwm 70% o Aelodau pleidleisio ar y Panel a bydd penderfyniadau yn gofyn am bleidlais fwyafrifol o 70% mewn cyfarfod â chworwm.

(v) Y cytunir ar sefydlu Panel Cynllunio Strategol Dros Dro cyn sefydlu'r SPP yn ffurfiol ac y bydd yr Aelod enwebedig yn cynrychioli Cyngor Bwrdeistref Sir Caerffili ar y Panel Cynllunio Strategol Dros Dro ag awdurdod dirprwyedig i wneud penderfyniadau cychwynnol ar baratoi'r SDP (â phleidlais wedi'i phwysoli yn unol â'r tabl yn Argymhelliad 3.4i ac wedi hynny ar y Panel Cynllunio Strategol.

Mae Cyngor Bwrdeistref Sirol Caerffili yn enwebu'r Cynghorydd Eluned Stenner, Aelod y Cabinet dros yr Amgylchedd a Diogelu'r Cyhoedd.

Y cytunir, os na all Aelod eistedd ar y Panel Cynllunio Strategol Dros Dro mwyach, y dylid rhoi awdurdod dirprwyedig i Arweinydd y Cyngor enwebu Aelod newydd i gynrychioli'r Cyngor ar y Panel Strategol Dros Dro a'r Panel Cynllunio Strategol.

(vi) Y sefydlir tîm swyddogion SDP Rhanbarthol i symud ymlaen â pharatoi'r SDP, i'w benodi gan gynrychiolwyr y Panel Cynllunio Strategol Dros Dro, â chymorth adnoddau dynol priodol gan yr Awdurdod Cyfrifol.

(vii) Y cytunir y dylid rhannu cost paratoi'r SDP ar draws y 10 Awdurdod ar gost gymesur, yn seiliedig ar y gynrychiolaeth bleidleisio ar y Panel Cynllunio Strategol. Bod hyn yn cael ei adolygu bob blwyddyn â'r cyfraniad cychwynnol o £50,000, i dalu'r costau cychwynnol sy'n debygol o gael eu tynnu yn y flwyddyn ariannol hon 2019/20, gan gael eu talu gan Lywodraeth Cymru yn unol â llythyr y Gweinidog dyddiedig 15fed Gorffennaf 2019 .

**14. CYNLLUN DATBLYGU LLEOL BWRDEISTREF SIROL CAERFFILI HYD AT 2021 - ADRODDIAD MONITRO BLYNYDDOL 2019 (GAN GYNNWYS Y 5<sup>ED</sup> ADRODDIAD ARDOLL SEILWAITH CYMUNEDOL BLYNYDDOL)**

Rhodddwyd ystyriaeth i'r adroddiad a oedd yn amlinellu canfyddiadau ac argymhellion Adroddiad Monitro Blynyddol Cynllun Datblygu Lleol Bwrdeistref Sirol Caerffili 2019 ac i argymhell y dylai Adroddiad Monitro Blynyddol 2019 gael ei gymeradwyo gan y Cyngor, gael ei gyflwyno i Lywodraeth Cymru er mwyn bodloni gofynion statudol y Cyngor a chytuno ar ddechrau paratoi adolygiad llawn o'r Cynllun Datblygu Lleol a Fabwysiadwyd.

Fe nododd y Cyngor ei fod yn ofyniad statudol bod y Cyngor yn cyflwyno Adroddiad Monitro Blynyddol (AMR) i Lywodraeth Cymru (LIC) sy'n monitro a yw Cynllun Datblygu Lleol Bwrdeistref Sirol Caerffili hyd at 2021 (CDLI) yn cael ei weithredu'n llwyddiannus. Pwrpas cyffredinol yr AMR yw nodi a yw'r Strategaeth LDP, neu unrhyw un o Bolisiau'r Strategaeth, heb gael eu gweithredu ac os nad ydynt, nodi camau i unioni hyn.

Dyma'r wythfed Adroddiad Monitro Blynyddol i'w baratoi ar gyfer Cynllun Datblygu Lleol Bwrdeistref Sirol Caerffili hyd at 2021 ac mae'n monitro'r cyfnod rhwng 1af Ebrill 2018 a 31ain Mawrth 2019. Pwrpas yr AMR yw ystyried a yw'r Strategaeth ar gyfer yr LDP a Fabwysiadwyd yn cael ei gweithredu ac a ddylid cynnal adolygiad, i wneud hyn mae'r AMR yn canolbwyntio ar weithredu'r amcanion a'r polisiau strategol, gan ystyried a ydynt yn cael eu gweithredu fel y byddai'r Strategaeth yn ei ddisgwyl.

Nododd yr aelodau bod AMR 2019 hefyd yn cynnwys y datganiad monitro blynyddol ar gyfer gweithrediad y Cyngor o'i Ardoll Seilwaith Cymunedol (CIL). Er nad yw hyn yn rhan o'r AMR, fe'i cynhwysir gan fod gan y ddau yr un gofynion ar gyfer eu cyflwyno i LIC. Fe nodwyd bod yr AMR wedi nodi bod cynnydd sylweddol wedi'i wneud o ran cyflwyno'r LDP, fodd bynnag, fel oedd yn wir mewn blynyddoedd blaenorol, fe nododd yr AMR nad yw 2 o'r Polisiau Strategol yn cael eu gweithredu yn ôl y disgwyl. O ganlyniad, mae AMR yn dod i'r casgliad bod angen adolygiad.

Mae AMR 2013 a'r AMRau dilynol wedi nodi'n glir yr angen i'r LDP gael ei adolygu. Fe ddechreuodd y gwaith ar Adolygiad o'r LDP yn 2013, ond ym mis Gorffennaf 2016 penderfynodd y Cyngor dynnu'r LDP Amnewid yn ôl a cheisio cefnogaeth i baratoi Cynllun Datblygu Strategol (SDP) yn gynnar ar gyfer Prifddinas-Ranbarth Caerdydd.

Yn dilyn trafodaethau helaeth, ym mis Mehefin 2019, cymeradwyodd Cyd-Gabinet Prifddinas-Ranbarth Caerdydd yr egwyddor o baratoi'r SDP a chytunwyd ar dempled adroddiad i'w ystyried gan bob un o'r 10 Cyngor ym Mhrifddinas-Ranbarth Caerdydd, sy'n nodi'r ardal ddaearyddol i'w chwmpasu gan yr SDP; y cwmpas a'r cynnwys; trefniadau rheoli a chost. Disgwylir i adroddiad yr SDP gael ei ystyried gan bob un o'r 10 ALI yn yr hydref yn 2019. Yn amodol ar gytundeb gan y 10 ALI, rhagwelir y bydd gwaith yn mynd rhagddo ar yr SDP. Fodd bynnag, bydd yr amserlenni arfaethedig ar gyfer paratoi'r SDP yn golygu ei fod yn annhebygol y bydd yr SDP yn cael ei fabwysiadu tan 2025 ar y cynharaf.

Mae'r AMR yn dod i'r casgliad ac yn argymhell bod cynnydd sylweddol wedi'i wneud o ran gweithredu Cynllun Datblygu Lleol Bwrdeistref Sirol Caerffili hyd at 2021 ac i gydnabod yr angen i nodi mwy o dir ar gyfer cyflogaeth a thai i gefnogi angen lleol a dyheadau rhanbarthol; mae'r 8<sup>fed</sup> Adroddiad Monitro Blynyddol yn argymhell y dylid cychwyn adolygiad o'r LDP a Fabwysiadwyd. Yn y cyfnod hyd at fabwysiadu LDP Amnewid newydd, bydd y Cyngor yn parhau i fynd i'r afael â'r diffyg yn y cyflenwad tir tai 5 mlynedd trwy weithredu'n rhagweithiol.

Nododd yr aelodau, â'r AMR yn argymhell y dylid cynnal adolygiad o'r LDP a Fabwysiadwyd, bod angen ystyried y math o adolygiad a wneir. Mae dau opsiwn ar gyfer ffurf yr adolygiad:

- Adolygiad Llawn: Gellid cynnal adolygiad llawn o'r LDP gan ddefnyddio'r broses baratoi ar gyfer yr LDP gyfan ar unwaith gan olygu y byddai'r Awdurdod heb LDP am ddim ond 2 flynedd a hanner;
- LDP Ysgafn: Fe wnaeth Deddf Cynllunio 2015 ddarpariaeth i awdurdodau lleol baratoi adolygiad ysgafn o'r cynllun lle mae Cynllun Datblygu Strategol ar waith. Er bod y broses baratoi yn fyrrach ac y byddai'n cyflawni cynllun mabwysiedig o fewn amserlen

gyflymach, ni ellir paratoi a mabwysiadu LDP Ysgafn nes bod yr SDP ei hun wedi'i fabwysiadu.

Fe nododd y Cyngor bod yr adroddiad yn argymhell y dylid cychwyn ar Adolygiad Llawn o'r LDP. Fodd bynnag, gofynnwyd iddynt nodi y bydd yr Adolygiad o'r LDP yn broses gostus a llafurus ac y bydd angen ffocws llawn Tîm y Cynllun Datblygu Strategol er mwyn cyflwyno'r Adolygiad o fewn yr amserlen ofynnol o 3 blynedd a hanner. Bydd yr Adolygiad o'r LDP hefyd yn tynnu costau sylweddol a fyddai'n codi o'r angen i sefydlu sylfaen dystiolaeth i gefnogi'r cynllun, yr ymgysylltu a'r ymgynghori a'r broses Archwilio ar gyfer y cynllun, a amlinellwyd yn fanwl o fewn yr adroddiad.

Er mwyn gwneud yr Adolygiad, fe nododd yr aelodau y bydd angen ymrwymiad llawn tîm y Cynlluniau Strategol a Datblygu, fodd bynnag mae ganddynt rôl hanfodol o ran paratoi dogfennau polisi megis Sylfaen i Lwyddiant: Strategaeth Adfywio ar gyfer y Fwrdeistref Sirol ac Uwchgynlluniau ar gyfer Basn Caerffili ac Ystrad Mynach. Felly, argymhellir creu swydd 2 flynedd, tymor penodol er mwyn parhau â'r gwaith ar weddill y dogfennau.

Roedd trafodaethau ynghylch materion cynllunio a nodwyd o ganlyniad i wrthdroi apeliadau a galluogi datblygwyr i adeiladu ar safleoedd Maes glas, o ganlyniad i'r ffaith nad oes LDP ar waith. Nodwyd bod ymgynghoriad ar y gweill ar hyn o bryd gan Lywodraeth Cymru ynghylch newidiadau i TAN 1, y bydd Swyddogion yn darparu diweddariadau ar ei gyfer maes o law.

Yn dilyn trafodaeth ac eglurhad gan Swyddogion, cynigiwyd ac eiliwyd y dylid cynnwys 2 argymhelliad ychwanegol mewn perthynas â blaenoriaethu datblygiadau tai fforddiadwy ac adeiladau newydd ar safleoedd tir llwyd a chydymffurfio â'r safonau amgylcheddol uchaf yn *vi*, *vii*.

Ar ôl ystyried yr argymhellion a gynhwysir yn adroddiad y Swyddogion a'r argymhellion ychwanegol dilynol, cafodd ei gynnig a'i eilio eu bod yn cael eu cymeradwyo a thrwy'r system bleidleisio electronig ac wrth nodi bod 36 o blaid a 5 yn erbyn, cytunwyd ar hyn gan y mwyafrif a oedd yn bresennol.

#### PENDERFYNWYD:-

- (i) nodi canfyddiadau Adroddiad Monitro Blynyddol 2019 a chymeradwyo Adroddiad Monitro Blynyddol 2019;
- (ii) bod Adroddiad Monitro Blynyddol 2019 (Gan gynnwys y 5<sup>ed</sup> Adroddiad Ardoll Seilwaith Cymunedol Blynyddol) yn cael ei gyflwyno i Lywodraeth Cymru cyn y dyddiad cau ar 31ain Hydref 2019;
- (iii) cytuno ar gychwyn Adolygiad Llawn o Gynllun Datblygu Lleol Bwrdeistref Sirol Caerffili;
- (iv) cymeradwyo'r mesurau ariannol a threfnu bod darpariaeth gyllidebol ar gael lle bo angen i gyllido'r gwaith o baratoi'r Cynllun Datblygu Strategol rhanbarthol (yn amodol ar Adroddiad ar wahân gan y Cyngor ar 08.10.19) a'r Cynllun Datblygu Lleol Amnewid;
- (v) cytuno ar greu swydd tymor penodol am 2 flynedd a gyllidir o Gronfeydd Wrth Gefn Gwasanaeth y Gyfarwyddiaeth Cymunedau i gwblhau cyflenwi'r gyfres o Uwchgynlluniau i ategu Strategaeth Adfywio'r cyngor 'Sylfaen i Lwyddiant'.

Argymhellion Ychwanegol:

- (vi) *Blaenoriaethu tai cyngor fforddiadwy ac adeiladau newydd ar safleoedd Tir Llwyd i ddiogelu'r amgylchedd naturiol a helpu i fynd i'r afael â'r Argyfwng Hinsawdd;*
- (vii) *Dylai pob Datblygiad Tai gydymffurfio â'r Safonau Amgylcheddol uchaf.*

## **15. CYNNIG I BEIDIO Â PHARHAU Â PHANEL CYNGHORI CENEDLAETHAU'R DYFODOL**

Fe roddwyd ystyriaeth i'r adroddiad a geisiodd ystyriaeth y Cyngor ar y cynnig i beidio â pharhau â Phanel Cyngori Cenedlaethau'r Dyfodol.

Fe nodwyd bod Panel Cyngori Cenedlaethau'r Dyfodol (FGAP), a elwid gynt yn Banel Cyngori ar Ddatblygu Cynaliadwy (SDAP), wedi'i sefydlu ym mis Chwefror 2002 i gynghori ar ddatblygu cynaliadwy a'i brif ffrydio. Ym mis Mai 2016 daeth y Panel yn FGAP, yn rhannol mewn ymateb i Ddeddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015.

Fe gymerwyd camau sylweddol o ran ymgorffori datblygu cynaliadwy a lles wrth gynllunio gwasanaethau a gwneud penderfyniadau ar draws yr awdurdod lleol ers sefydlu SDAP. Fe wnaeth yr FGAP barhau â'r gwaith hwn ac mae wedi cyflawni ei nod craidd i godi ymwybyddiaeth o les yng nghyd-destun cenedlaethau'r dyfodol. Ystyrir nad oes angen grŵp ffurfiol bellach i hyrwyddo'r materion hyn.

Mynegodd Aelod ei bryder ynghylch presenoldeb gwael yn yr FGAP o'i gymharu ag SDAP, fodd bynnag fe gytunodd fod cynnydd sylweddol wedi'i wneud. Fe geisiodd yr Aelod sicrwydd y bydd y ffocws yn parhau heb Banel i symud ymlaen ac awgrymodd y dylid cynnal adolygiad o fewn 6-12 mis, cyn chwalu'r grŵp.

Ar ôl ystyried yr adroddiad yn llawn, cynigiwyd ac eiliwyd y dylid cymeradwyo'r argymhelliad o fewn adroddiad y Swyddog a thrwy'r system bleidleisio electronig ac wrth nodi bod 43 o blaid a 5 yn erbyn hyn gan y mwyafrif a oedd yn bresennol.

PENDERFYNWYD y dylid cymeradwyo'r cynnig i beidio â pharhau â Phanel Cyngori Cenedlaethau'r Dyfodol.

Daeth y cyfarfod i ben am 19:45yp

Wedi'i gymeradwyo fel cofnod cywir ac yn amodol ar unrhyw welliannau neu gywiriadau y cytunwyd arnynt ac

Wedi'i gofnodi yng nghofnodion y cyfarfod a gynhaliwyd ar 19eg Tachwedd 2019 fe'u llofnodwyd gan y Maer.

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MAER





## CYNGOR

### COFNODION O'R CYFARFOD EITHRIADOL A GYNHALIWIYD YN NHŶ PENALLTA, YSTRAD MYNACH AR DDYDD LLUN, 28 HYDREF 2019 AM 5.00PM

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#### YN BRESENNOL:

Y Cynghorydd J. Simmonds - Maer  
Y Cynghorydd C. Andrews - Dirprwy Faer

#### Cynghorwyr:

M. Adams, Mrs E. Aldworth, P.J. Bevan, C. Bezzina, A. Collis, S. Cook, D. Cushing, C. Cuss, M. Davies, D.T. Davies, K. Dawson, N. Dix, M. Evans, A. Farina-Childs, Mrs C. Forehead, Ms E. Forehead, A. Gair, Ms J. Gale, N. George, C. Gordon, R.W. Gough, D.T. Hardacre, D. Harse, D. Havard, A. Higgs, A. Hussey, M. James, L. Jeremiah, G. Johnston, Mrs B. Jones, S. Kent, G. Kirby, Mrs A. Leonard, Ms P. Leonard, C.P. Mann, Mrs P. Marsden, B. Miles, S. Morgan, Mrs G. Oliver, B. Owen, Mrs G. Oliver, B. Owen, T. Parry, Mrs L. Phipps, D.V. Poole, Mrs D. Price, J. Pritchard, J. Ridgewell, J.E. Roberts, G. Simmonds, J. Simmonds, J. Taylor, C. Thomas, A. Whitcombe, R. Whiting, L. G. Whittle, T. Williams, W. Williams, B. Zaplatynski

#### Ynghyd â:-

D. Street (Cyfarwyddwr Corfforaethol Gwasanaethau Cymdeithasol), R. Tranter (Pennaeth Gwasanaethau Cyfreithiol a Swyddog Monitro), L. Donovan (Pennaeth Gwasanaethau Pobl), L. Lane (Dirprwy Swyddog Monitro a Phennaeth Gwasanaethau Democraidd), S. Harris (Pennaeth Dros Dro Gwasanaethau Gwella Busnes a Swyddog Dros Dro Adran 151) ac E. Sullivan (Uwch Swyddog Gwasanaethau Pwyllgor)

#### Hefyd yn bresennol:

J. Bowers QC

### TREFNIADAU FFILMIO GWE-DDARLLEDU A PHLEIDLEISIO

Atgoffodd y Cyfarwyddwr Corfforaethol Gwasanaethau Cymdeithasol y rheini oedd yn bresennol fod y cyfarfod yn cael ei ffilmio a byddai'n cael ei ddarparu ar gyfer y cyhoedd yn fyw a'i archifo drwy wefan y Cyngor. Dywedodd y byddai penderfyniadau yn cael eu gwneud drwy'r system bleidleisio electronig.

#### 1. YMDDIHEURIADAU AM ABSENOLDEB

Cafwyd ymddiheuriadau am absenoldeb gan y Cynghorwyr A. Angel, L. Binding, W. David, C.

Elsbury, K. Etheridge, J. Fussell, L. Harding, V. James, D. Preece, R. Saralis, M.E. Sargent, J. Scriven, S. Skiven ac E. Stenner.

## **2. DATGANIADAU O FUDDIANT**

Datganodd y Cynghorwyr C. Gordon a T.D. Davies fuddiant yn Eitem 4 ar yr Agenda. Mae manylion wedi eu cofnodi gyda'r eitem berthnasol.

Gofynnodd y Cynghorydd M. Adams am gyngor mewn perthynas â datgan buddiant. Fel Cadeirydd blaenorol y Pwyllgor Ymchwilio a Disgyblu fe wnaeth sylwadau ynghylch rhai o'r materion a fydd yn cael eu hystyried. Cadarnhaodd y Swyddog Monitro mai mater i'r aelod unigol ei benderfynu oedd hwn. Os oedd yr aelod yn teimlo ei fod yn gallu ystyried y mater yn deg ac yn wrthrychol nid oedd angen datgan buddiant. Nid oedd yn gwestiwn o ddatgan buddiant ond yn hytrach a oedd gan yr aelod wrthdrawiad buddiannau a fyddai'n ei atal rhag cymryd rhan yn y ddatgan a phleidleisio. Cadarnhaodd y Cynghorydd Adams ei fod yn teimlo bod ganddo'r gallu i fod â meddwl agored ac y byddai'n aros ac yn cymryd rhan yn y cyfarfod.

Gofynnodd y Cynghorydd D.V. Poole, gan ei fod wedi bod yn gohebu â'r Cyn-brif Weithredwr mewn perthynas â thaliadau gwyliau blynyddol, am gyngor a oedd angen iddo ddatgan buddiant yn hyn o beth. Cadarnhaodd y Swyddog Monitro mai mater i'r Cynghorydd unigol ei benderfynu oedd hwn. Os oedd yn teimlo ei fod yn gallu gweithredu'n deg ac â meddwl agored mewn perthynas â'r mater dan sylw, nid oedd gwrthdrawiad buddiannau.

Gofynnodd y Cynghorydd M. James am gyngor gan fod yr adroddiad yn cyfeirio at rai materion y byddai'n eu cyfeirio at dîm cyfreithiol y Cyn-brif Weithredwr, ac oherwydd hyn, roedd angen iddo ddatgan buddiant. Cadarnhaodd y Swyddog Monitro, yn debyg i'r cyngor blaenorol, mater i'r aelod unigol ei benderfynu oedd hwn, ac os oedd yr Aelod yn teimlo ei fod yn gallu gweithredu'n wrthrychol ac yn deg yn y mater hwn nid oedd angen gadael y cyfarfod.

Rhybuddiodd y Swyddog Monitro'r Aelodau i fod yn ofalus gyda geiriad unrhyw gwestiynau yn ystod sesiwn cyhoeddus y Cyngor oherwydd natur sensitif y mater dan sylw.

## **3. MATER EITHRIEDIG**

Rhodddwyd ystyriaeth i brawf budd y cyhoedd a chynghorodd y Swyddog Monitro'r Aelodau yn gadarn, ar sail y wybodaeth bersonol ac ariannol yn yr adroddiad, na ddylid trafod y mater yn gyhoeddus a dylid gwahardd y wasg a'r cyhoedd.

Ar ben hynny, byddai unrhyw gyngor a rhoddir gan Mr John Bowers QC dan fraint gyfreithiol ac felly byddai'n fwy cyfleus o ran gweinyddiaeth wahardd y wasg a'r cyhoedd yn ystod y rhan hon o'r cyfarfod yn hytrach nag yn hwyrach yn y ddatgan pan ofynnir am gyngor cyfreithiol.

Holodd Aelod am yr angen am eithrio, gan nad oedd y Cyn-brif Weithredwr wedi'i gyflogi gan y Cyngor mwyach ac roedd y mater wrth law eisoes ar gael yn gyhoeddus trwy'r wasg. Yn ychwanegol, roedd Mr O'Sullivan ei hun wedi gofyn yn flaenorol i'r achos disgyblu ar 3 Hydref 2019 fod yn agored i'r wasg a'r cyhoedd.

Atgoffodd y Swyddog Monitro'r Aelodau na ddylai datgeliad answyddogol yr adroddiad na'r ohebiaeth ddilynol fod wedi cael eu cyhoeddi ac roedd yn torri'r cod ymddygiad yn glir ac ailbwysleisiodd ei gyngor cadarn y dylai'r wasg a'r cyhoedd cael eu gwahardd.

Ystyriodd yr Aelodau dystysgrif prawf budd y cyhoedd gan y Swyddog Priodol a daethant i'r casgliad bod ar gyfartaledd y budd i'r cyhoedd o gynnal yr eithriad yn bwysicach na'r budd i'r cyhoedd o ddatgelu'r wybodaeth. Cytunwyd ar hyn gan y mwyafrif a oedd yn bresennol trwy godi dwylo.

PENDERFYNWYD, yn unol ag Adran 100A (4) o Ddeddf Llywodraeth Leol 1972, fod y cyhoedd yn cael ei wahardd o weddill y cyfarfod oherwydd y byddai'n debygol y datgelir gwybodaeth eithriedig iddynt fel y nodwyd ym mharagraff 12 ac 14 o Ran 4 o Atodlen 12A i Ddeddf Llywodraeth Leol 1972.

#### **4. CEISIADAU AM DALIADAU NEU AD-DALIADAU O SYMIAU GAN Y CYN-BRIF WEITHREDWR**

Datganodd y Cyngorydd C. Gordon fuddiant personol a rhagfarnol fel Cadeirydd y Pwyllgor Archwilio a Disgyblu a datganodd y Cyngorydd D.T. Davies fuddiant personol a rhagfarnol fel Aelod o'r Pwyllgor Archwilio a Disgyblu a gadawodd y ddau'r Siambr cyn trafod y mater.

Ar ôl ystyried cynnwys yr adroddiad, y cyngor a roddwyd yn ystod y cyfarfod a'r rhesymau a rhoddwyd yn adroddiad y Swyddog yn llawn, cynigiwyd ac eiliwyd pleidleisio dros bob eitem yn unigol, trwy'r system pleidleisio electronig.

Mewn perthynas â'r taliad y gofynnwyd amdano yn adran 3.1.1 o adroddiad y Swyddog, ac wrth nodi bod 4 o blaid, 50 yn erbyn a 3 yn ymwrthod, gwrthodwyd hyn gan y mwyafrif a oedd yn bresennol.

Mewn perthynas â'r taliad y gofynnwyd amdano yn adran 3.1.2 o adroddiad y Swyddog, ac wrth nodi bod 53 yn erbyn ac 1 yn ymwrthod, gwrthodwyd hyn gan y mwyafrif a oedd yn bresennol.

Mewn perthynas â'r taliad y gofynnwyd amdano yn adran 3.1.3 o adroddiad y Swyddog, ac wrth nodi bod 54 yn erbyn ac 1 yn ymwrthod, gwrthodwyd hyn gan y mwyafrif a oedd yn bresennol.

Mewn perthynas â'r taliad y gofynnwyd amdano yn adran 3.1.4 o adroddiad y Swyddog, ac wrth nodi bod 1 o blaid, 54 yn erbyn ac 1 yn ymwrthod, gwrthodwyd hyn gan y mwyafrif a oedd yn bresennol.

Mewn perthynas â'r taliad y gofynnwyd amdano yn adran 3.1.4 o adroddiad y Swyddog, nodwyd Aelodau bod y cyn-brif Weithredwr wedi tynnu'r cais hwn yn ôl.

PENDERFYNWYD cymeradwyo:-

- (i) Gwrthod y taliad y gofynnwyd amdano yn adran 3.1.1 o adroddiad y Swyddog.
- (ii) Gwrthod y taliad y gofynnwyd amdano yn adran 3.1.2 o adroddiad y Swyddog.
- (iii) Gwrthod y taliad y gofynnwyd amdano yn adran 3.1.3 o adroddiad y Swyddog.
- (iv) Gwrthod y taliad y gofynnwyd amdano yn adran 3.1.4 o adroddiad y Swyddog.
- (iv) Nodi bod y taliad y gofynnwyd amdano yn adran 3.1.5 o adroddiad y Swyddog wedi'i dynnu yn ôl.

Terfynwyd y cyfarfod am 18:30

Wedi'i gymeradwyo fel cofnod cywir ac yn amodol ar unrhyw newidiadau neu gywiriadau a gytunir arnynt ac a gofnodir yng nghofnodion y cyfarfod a gynhelir ar 19 Tachwedd 2019 ac fe'u llofnodwyd gan y Maer.





## COUNCIL – 19TH NOVEMBER 2019

**SUBJECT: NOTICE OF MOTION – NATIONAL STRATEGY FOR THE PREVENTION OF YOUNG CARDIAC DEATH**

**REPORT BY: CORPORATE DIRECTOR FOR SOCIAL SERVICES AND HOUSING**

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### **1. PURPOSE OF REPORT**

- 1.1 Council is asked to consider the Notice of Motion as set out in Paragraph 6 of the report, and make an appropriate recommendation. In accordance with Rule 11 (3) of the Constitution, the Mayor has agreed to allow the motion to be dealt with at Council, without being first discussed at an overview and scrutiny committee.

### **2. SUMMARY**

- 2.1 A Notice of Motion has been received from Councillor C. Cuss and supported by Councillors J. Bevan and D. Harse.
- 2.2 The Notice of Motion meets the criteria set out in the Council's Constitution and in accordance with the Council's Rules of Procedure is now referred to Council for consideration.

### **3. RECOMMENDATIONS**

- 3.1 Council is asked to consider the Notice of Motion outlined in paragraph 6.1 below.

### **4. REASONS FOR THE RECOMMENDATION**

- 4.1 In accordance with the Council's Constitution.

### **5. LINKS TO STRATEGY**

- 5.1 The procedural rules regarding a Notice of Motion are contained within Council's Constitution as adopted in May 2002. The Council's Constitution sets out the framework for the decision making roles and responsibilities which will impact on future generations.

### **6. REPORT**

- 6.1 Councillor C. Cuss requests in his Notice of Motion that Council:-

- (i) write to Welsh Government and ask that they support a National Strategy for the Prevention of Young Sudden Cardiac Death and look at the possibility of providing a free cardiac screening programme for our young people, starting with mandatory

screening for any young person taking part in competitive sport in Wales.

- (ii) pledge to support a National Strategy for the Prevention of Young Sudden Cardiac Death to help save the lives of the 12 apparently fit and healthy young people who die every week in the UK of undiagnosed cardiac conditions by writing to UK Parliament and urging Members of Parliament to support the campaign [mypledge@c-r-y.org.uk](mailto:mypledge@c-r-y.org.uk):

6.2 The following information is provided by the Member in support of his notice of motion.

### **Reasons for the Motion**

Every week, 12 apparently fit and healthy young people in the UK die suddenly from an undiagnosed heart defect. In 80% of these cases, there will have been no signs or a symptom until it is too late, which is why screening is so vitally important. Once detected, preventative or therapeutic interventions can be implemented and in some cases the condition can be cured with the risk of sudden cardiac death entirely removed.

Voluntary Organisations such as CRY test around 30,000 young people each year but inexplicably the National Screening Committee is set to recommend 'against' screening for the risk of sudden cardiac death in the young. Welsh Hearts another voluntary organisation have recently submitted a petition to Welsh Government 'Lets Get Every Young Heart Screened' which asks them to roll out a heart screening programme for all young people aged 10-35 in Wales.

Current UK policies are contradictory, with guidelines based on inconsistent assessments of the incidence, methods of diagnosis and management of cardiac conditions in young people. A national strategy is needed to ensure consistency and to correctly acknowledge the incidences of these deaths, which are, according to the UK Statistics Authority, being significantly under reported.

In the Moriah Ward on the 15th of August 2018, we lost one such young person, Jake Pickford, a talented footballer and athlete, who at the age of 16 died from Sudden Arrhythmic Death Syndrome (SADS) the devastation to his parents, brother and sister, family, friends and the community as a whole is immeasurable and unfortunately this is not the only incidence in our County Borough.

## **7. WELL-BEING OF FUTURE GENERATIONS**

- 7.1 The Notice of Motion is consistent with the five ways of working as defined within the act as it complies with the rules and regulations of the Council's Constitution which sets out a clear framework for how the Council operates in particular decision making responsibilities which will consider the positive and negative impacts on future generations, long term resilience, economic, environmental and social capital.

## **8. EQUALITIES IMPLICATIONS**

- 8.1 There are no specific equalities implications that directly affect the Council arising from the report.

## **9. FINANCIAL IMPLICATIONS**

- 9.1 There are no financial implications associated with this report.

**10. PERSONNEL IMPLICATIONS**

10.1 There are no personnel implications associated with this report.

**11. CONSULTATIONS**

11.1 There has been no consultation undertaken.

Author: Emma Sullivan, Senior Committee Services Officer

## NOTICE OF MOTION

### NATIONAL STRATEGY FOR THE PREVENTION OF YOUNG SUDDEN CARDIAC DEATH

To consider the undersigned Notice of Motion standing in the name of County Borough Councillor C. Cuss and supported by the Councillors D. Harse and J. Bevan and those listed below.

Every week 12 apparently fit and healthy young people in the UK die suddenly from an undiagnosed heart defect. In 80% of these cases, there will have been no signs or a symptom until it is too late, which is why screening is so vitally important. Once detected, preventative or therapeutic interventions can be implemented and in some cases the condition can be cured with the risk of sudden cardiac death entirely removed.

Voluntary Organisations such as CRY test around 30,000 young people each year but inexplicably the National Screening Committee is set to recommend 'against' screening for the risk of sudden cardiac death in the young. Welsh Hearts another voluntary organisation have recently submitted a petition to Welsh Government 'Lets Get Every Young Heart Screened' which asks them to roll out a heart screening programme for all young people aged 10-35 in Wales.

Current UK policies are contradictory, with guidelines based on inconsistent assessments of the incidence, methods of diagnosis and management of cardiac conditions in young people and a national strategy is needed to ensure consistency and to correctly acknowledge the incidences of these deaths, which are according to the UK Statistics Authority being significantly under reported.

In the Moriah Ward on the 15th of August 2018, we lost one such young person, Jake Pickford, a talented footballer and athlete, who at the age of 16 died from Sudden Arrhythmic Death Syndrome (SADS) the devastation to his parents, brother and sister, family, friends and the community as a whole is immeasurable and unfortunately this is not the only incidence in our County Borough.

We the undersigned elected members ask that this Council: -

- (i) write to Welsh Government and ask that they support a National Strategy for the Prevention of Young Sudden Cardiac Death and look at the possibility of providing a free cardiac screening programme for our young people, starting with mandatory screening for any young person taking part in competitive sport in Wales.
- (ii) pledge to support a National Strategy for the Prevention of Young Sudden Cardiac Death to help save the lives of the 12 apparently fit and healthy young people who die every week in the UK of undiagnosed cardiac conditions by writing to UK Parliament and urging Members of Parliament to support the campaign [mypledge@c-r-y.org.uk](mailto:mypledge@c-r-y.org.uk):

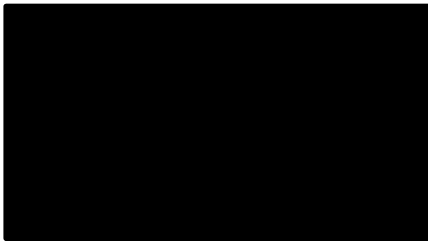




Councillor C. Cuss



Councillor J. Bevan



Councillor D. Harse

Gadewir y dudalen hon yn wag yn fwriadol



## COUNCIL – 19TH NOVEMBER 2019

**SUBJECT: ANNUAL IMPROVEMENT REPORT 2018/19**

**REPORT BY: CORPORATE DIRECTOR FOR EDUCATION AND CORPORATE SERVICES**

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- 1.1 The attached report, which was presented by the Wales Audit Office to Cabinet on 16th October 2019 and considered by Audit Committee on 15th October 2019, provided details of the key messages from the Wales Audit Office (WAO) Annual Improvement Report (AIR). The AIR makes a judgement as to whether we have and whether we are likely to comply with our statutory duty in compliance with the Local Government (Wales) Measure 2009 to 'make arrangements to secure continuous improvement'.
  - 1.2 It was noted that the AIR is two-fold. It summarises the work carried out by WAO during the year 2018/19 and provides the regulators judgement as to whether we comply with the Local Government (Wales) Measure 2009. For 2018/19 the WAO judgement was:  
  
**“The Council is meeting its statutory requirements in relation to continuous improvement and is at a crucial pivotal point in its ambition to transform”**  
Based on, and limited to, the work carried out by the Wales Audit Office and relevant regulators, the Auditor General believes that the Council is likely to comply with the requirements of the Local Government Measure (2009) during 2019/20.
  - 1.3 The presenting WAO Auditor noted that the Council is now at a crucial pivotal point in its ambition to transform, and it has significant opportunities to quickly grasp through its transformation programme, Team Caerphilly and needs to now ground its ambitions and turn into action and improved outcomes for its citizens by 2022.
  - 1.4 Cabinet considered each of the issues identified within the report at Exhibit 1: audit, regulatory and inspection work reported during 2018-19, noting areas of development where identified and any recommendations in order to meet National Recommendations.
  - 1.5 In discussing the local risk-based performance audit information and WHQS follow-up, it was noted that significant progress had been made in the WHQS Programme and the programme is on track for full compliance in June 2020.
  - 1.6 Financial sustainability was discussed and a Member queried whether discussions had taken place with the WLGA. Officers explained that a number of councils are developing transformation strategies, as a result of austerity measures and budget pressures and therefore, discussions have taken place both with the WLGA and S151 groups, to look at ways of working together and supporting where possible.
  - 1.7 Members raised concerns around the Local Government Services to Rural Communities recommendation in relation to supporting community asset transfers and the challenges this could post due to shrinking budgets and resilience of Town and Community Councils to maintain key assets. Members were assured that this was part of a National Report across Wales, in which some recommendations may be more relevant to other Local Authorities, and

each recommendation is to be considered for relevance and fit.

- 1.8 Discussions took place around Waste Management and it was noted that Caerphilly, having conducted a review of services, will not be following the 'blue print', and Members queried what implications this would have, as the current process is producing excellent performance and response from residents. The Officer explained that the recommendations in the report are made on the national work undertaken and a focus on increasing participation, and not on assumptions of the 'blue print'.
- 1.9 Cabinet thanked the Wales Audit Office for the extensive work undertaken and were keen to see progress reports in due course.
- 1.10 Having fully considered its content Cabinet unanimously supported the recommended within the Officers report and

RECOMMENDED that Council approve the Annual Improvement Report (AIR)  
2018/19.

- 1.11 Council are asked to consider the attached report and the above recommendation from Cabinet.

Author: Charlotte Evans, Committee Services Officer – [evansca1@caerphilly.gov.uk](mailto:evansca1@caerphilly.gov.uk)

Appendices:

Appendix 1 Cabinet Report dated 16th October 2019



## **CABINET – 16TH OCTOBER 2019**

**SUBJECT: ANNUAL IMPROVEMENT REPORT 2018/19.**

**REPORT BY: CORPORATE DIRECTOR FOR EDUCATION AND CORPORATE SERVICES**

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- 1.1 The attached report is due to be considered by the Audit Scrutiny Committee on 15th October 2019, prior to its referral to Cabinet.
  - 1.2 The views expressed at the meeting and the recommendations of the Scrutiny Committee will be reported verbally to Cabinet on 16th October 2019.

Author: C. Evans, Committee Services Officer, Ext. 4210

Appendices:

Appendix Annual Improvement Report 2018/19 - Agenda Item 4



## AUDIT COMMITTEE 15TH OCTOBER 2019

**SUBJECT: ANNUAL IMPROVEMENT REPORT 2018/19**

**REPORT BY: CORPORATE DIRECTOR - EDUCATION AND CORPORATE SERVICES**

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### 1. PURPOSE OF REPORT

- 1.1 The attached report (Appendix 1) issued at the end of July 2019 outlines the key messages from the Wales Audit Office (WAO) Annual Improvement Report (AIR). The AIR makes a judgement as to whether we have and whether we are likely to comply with our statutory duty in compliance with the Local Government (Wales) Measure 2009 to 'make arrangements to secure continuous improvement'.

### 2. SUMMARY

- 2.1 This AIR is two-fold. It summarises the work carried out by the WAO during the year 2018/19 and provides the regulators judgement as to whether we will comply with the Local Government (Wales) Measure 2009. For 2018/19 the WAO judgement was:

***"The Council is meeting its statutory requirements in relation to continuous improvement and is at a crucial pivotal point in its ambition to transform"***

*Based on, and limited to, the work carried out by the Wales Audit Office and relevant regulators, the Auditor General believes that the Council is likely to comply with the requirements of the Local Government Measure (2009) during 2019-20"*

### 3. RECOMMENDATIONS

- 3.1 It is recommended that Audit Committee comment on and approve the Annual Improvement Report (AIR) 2018/19 as set out in Appendix 1.

### 4. REASONS FOR THE RECOMMENDATIONS

- 4.1 To allow Audit Committee to consider the Annual Improvement Report prior to its presentation at Cabinet.

### 5. THE REPORT

- 5.1 As noted in 2.1 the WAO judgement is that "The Council is meeting its statutory requirements in relation to continuous improvement and is at a crucial pivotal point in its ambition to transform"

5.2 The report notes in paragraph 7 that “*During the course of the year, the Auditor General did not make any further formal recommendations. However, we have made a number of proposals for improvement and these are repeated in this report. We will monitor progress against them and relevant recommendations made in our national reports (AIR Appendix 3) as part of our improvement assessment work*”.

5.3 Page 6 onwards of the AIR gives a brief summary of the work reported on in 2018/19.

5.4 The AIR notes, at page 15, that the Council complied with its duty for Improvement Planning and Reporting, through our published Well-being Objectives, and our assessment of performance in the Annual Performance Report for the year 2017/18. No proposals for improvement were made. The WAO provided confirmation certificates that these duties were met and these were received by Audit Committee as information items on 24<sup>th</sup> July 2018 and 29<sup>th</sup> January 2019.

5.5 Individual reports received are reported to members throughout the year and the AIR is a summary of those individual outputs.

## 5.6 **Conclusion**

At the end of 2018/19 we can demonstrate that “***The Council is meeting its statutory requirements in relation to continuous improvement and is at a crucial pivotal point in its ambition to transform***”

## 6. **ASSUMPTIONS**

6.1 There are no assumptions in this report.

## 7. **LINKS TO RELEVANT COUNCIL POLICIES**

7.1 Corporate Plan 2018-2023.

## 8. **WELL-BEING OF FUTURE GENERATIONS**

8.1 The Well-being of Future Generations (Wales) Act 2015 is about improving the social, economic, environmental and cultural well-being of Wales. Its aim is to make public bodies listed in the Act to think more about the long term, working better with communities and each other. It is about preventing problems and taking a joined-up approach.

The arrangements we have in place to comply with the Act are reported to a range of audiences and made available on the council’s website.

## 9. **EQUALITIES IMPLICATIONS**

9.1 An Equalities Impact Assessment screening has been conducted and it has been determined that an assessment is not needed as this report relates to a document published by the WAO.

## 10. **FINANCIAL IMPLICATIONS**

10.1 There are no financial implications to this report.

## **11. PERSONNEL IMPLICATIONS**

11.1 There are no personnel implications arising from this report.

## **12. CONSULTATIONS**

12.1 This report has been sent to the consultees listed below and all comments received are reflected in this report.

## **13. STATUTORY POWER**

13.1 Local Government (Wales) Measure 2009. Well-being of Future Generations (Wales) Act 2015

Author: Ros Roberts, Corporate Performance Manager, [roberr@caerphilly.gov.uk](mailto:roberr@caerphilly.gov.uk)

Consultees: Richard (Ed) Edmunds – Corporate Director for Education and Corporate Services  
Councillor Barbara Jones – Interim Leader & Cabinet Member for Performance  
Steve Harris – Interim Head of Business Improvement Services  
Kathryn Peters – Corporate Policy Manager  
Deborah Gronow – Audit Group Manager

Appendices:  
Appendix A - Annual Improvement Report 2018/19





WALES AUDIT OFFICE  
SWYDDFA ARCHWILIO CYMRU

# Annual Improvement Report

## Caerphilly County Borough Council

Issued: July 2019

Document reference: 1388A2019-20

This Annual Improvement Report has been prepared on behalf of the Auditor General for Wales by Gareth Jones and Non Jenkins under the direction of Huw Rees

**Adrian Crompton**  
**Auditor General for Wales**  
**Wales Audit Office**  
**24 Cathedral Road**  
**Cardiff**  
**CF11 9LJ**

The Auditor General is independent of government, and is appointed by Her Majesty the Queen. The Auditor General undertakes his work using staff and other resources provided by the Wales Audit Office Board, which is a statutory board established for that purpose and to monitor and advise the Auditor General. The Wales Audit Office is held to account by the National Assembly.

The Auditor General audits local government bodies in Wales, including unitary authorities, police, probation, fire and rescue authorities, national parks and community councils. He also conducts local government value for money studies and assesses compliance with the requirements of the Local Government (Wales) Measure 2009.

Beyond local government, the Auditor General is the external auditor of the Welsh Government and its sponsored and related public bodies, the Assembly Commission and National Health Service bodies in Wales.

The Auditor General and staff of the Wales Audit Office aim to provide public-focused and proportionate reporting on the stewardship of public resources and in the process provide insight and promote improvement.

We welcome correspondence and telephone calls in Welsh and English. Corresponding in Welsh will not lead to delay. Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg. Ni fydd gohebu yn Gymraeg yn arwain at oedi.

This document is also available in Welsh.

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# Summary report

## 2018-19 performance audit work

- 1 To decide the range and nature of the work we would undertake during the year, we considered how much we already know from all previous audit and inspection work and from other sources of information including Caerphilly County Borough Council's (the Council) own mechanisms for review and evaluation. For 2018-19, we undertook improvement assessment work; an assurance and risk assessment project and work in relation to the Well-being of Future Generations Act at all councils. At some councils, we supplemented this work with local risk-based audits, identified in the Audit Plan for 2018-19.
- 2 The work carried out since the last Annual Improvement Report (AIR), including that of the relevant regulators, is set out in [Exhibit 2](#).

## The Council is meeting its statutory requirements in relation to continuous improvement and is at a crucial pivotal point in its ambition to transform

- 3 Based on, and limited to, the work carried out by the Wales Audit Office and relevant regulators, the Auditor General believes that the Council is likely to comply with the requirements of the Local Government Measure (2009) during 2019-20.
- 4 As stated in our 2015-16, 2016-17 and 2017-18 Annual Improvement Reports, internal investigations are continuing in relation to the issues concerning some senior officers. Consequently, there are still a number of interim or acting posts at senior management level and a degree of uncertainty remains at the Council until the internal investigations are concluded.
- 5 The Council is now at a crucial pivotal point in its ambition to transform, and it has significant opportunities to quickly grasp through its transformation programme, Team Caerphilly, to ground its ambitions and turn them into action and improved outcomes for its citizens by 2022.

## Recommendations and proposals for improvement

- 6 Given the wide range of services provided by the Council and the challenges it is facing, it would be unusual if we did not find things that can be improved. The Auditor General is able to:
  - make proposals for improvement – if proposals are made to the Council, we would expect it to do something about them and we will follow up what happens;

- make formal recommendations for improvement – if a formal recommendation is made, the Council must prepare a response to that recommendation within 30 working days;
- conduct a special inspection, publish a report and make recommendations; and
- recommend to ministers of the Welsh Government that they intervene in some way.

7 During the course of the year, the Auditor General did not make any formal recommendations. However, we have made a number of proposals for improvement and these are repeated in this report. We will monitor progress against them and relevant recommendations made in our national reports ([Appendix 3](#)) as part of our improvement assessment work.

## Audit, regulatory and inspection work reported during 2018-19

Exhibit 1: audit, regulatory and inspection work reported during 2018-19

Issue date	Brief description	Conclusions	Proposals for improvement
April 2019	<p><b>Assurance and Risk Assessment</b> Project to identify the level of audit assurance and/or where further audit work may be required in future years in relation to risks to the Council:</p> <ul style="list-style-type: none"> <li>• putting in place proper arrangements to secure value for money in the use of resources;</li> <li>• putting in place arrangements to secure continuous improvement; and</li> <li>• acting in accordance with the sustainable development principle in setting wellbeing objectives and taking steps to meet them.</li> </ul>	<p><b>Arising from this project we identified the following topics for inclusion in our audit plan at the Council for 2019-20:</b></p> <ul style="list-style-type: none"> <li>• a review of the Council's transformation programme. This project will review the effectiveness and impact of the Council's transformation programme in driving sustainable and efficient improvement. We will discuss the focus and approach to this project with the Council.</li> <li>• a review of the Council's financial sustainability. A project common to all local councils that will assess financial sustainability in light of current and anticipated future challenges. .</li> </ul>	Not applicable

Issue date	Brief description	Conclusions	Proposals for improvement
March 2019	<p><b>Well-being of Future Generations Act (Wales) 2015 (WFG Act) examination</b></p> <p>Examination of the extent to which the Council has acted in accordance with the sustainable development principle when taking the step of <b>continuing the delivery of the Flying Start programme to help improve access, take up and attendance</b> to meet the following wellbeing objective:</p> <ul style="list-style-type: none"> <li>Improved education opportunities for all.</li> </ul> <p><b><u><a href="#">Copy of the Auditor General's report</a></u></b></p>	<p><b>In taking this step and acting in accordance with the Flying Start Programme, the Council has a number of positive examples of how it has taken account of the sustainable development principle, particularly in collaboration and prevention. However, the Council recognises that there is more to do to consistently embed all five ways of working and could strengthen its involvement to secure increased take up and attendance and ensure that it is working in a fully integrated way:</b></p> <ul style="list-style-type: none"> <li>the Council can identify longer-term benefits for individual families who engage with the Flying Start Programme, but the Council could consider ways to track and evidence longer-term impacts for all families eligible for the programme;</li> <li>prevention is an inherent part of the Flying Start Programme, and the Council has tailored its approach to the local population, but it should consider different ways to maximise the preventative benefits the programme could bring;</li> <li>the Council demonstrates a good understanding of integration and is making progress towards a more integrated approach to delivering its Flying Start Programme;</li> <li>collaboration is an inherent part of the Flying Start programme and the Flying Start team are collaborating well internally and externally; and</li> <li>the Flying Start team has a well-developed approach to using views of parents to inform the design of services and has established a positive working relationship with the local Parent Network.</li> </ul>	<p>We did not make any proposals for improvement in the Well-being of Future Generations Act (Wales) 2015 (WFG Act) examinations report but did highlight some areas of development which the Council plans to act on.</p>

Issue date	Brief description	Conclusions	Proposals for improvement
September 2018	<p><b>Annual Audit Letter 2017-18</b> Letter summarising the key messages arising from the Auditor General's statutory responsibilities under the Public Audit (Wales) Act 2004 and his reporting responsibilities under the Code of Audit Practice. The Annual Audit Letter is in <b>Appendix 2</b> of this report.</p>	<ul style="list-style-type: none"> <li>• The Council complied with its responsibilities relating to financial reporting and use of resources.</li> <li>• We are satisfied that the Council has appropriate arrangements in place to secure economy, efficiency and effectiveness in its use of resources.</li> <li>• Our work to date on certification of grant claims and returns has not identified significant issues that would impact on the 2017-18 accounts or key financial systems.</li> </ul>	None



Issue date	Brief description	Conclusions	Proposals for improvement
<b>Local risk-based performance audit</b>			
December 2018	<p><b>Thematic Review – Service User Perspective</b></p> <p>Review to gain assurance and insight into the extent to which services respond to the needs and expectations of service users both in their design and delivery and take their views and aspirations into account. This review focussed on the Council's housing tenants and leaseholders.</p> <p><u><a href="#">Copy of the Auditor General's report</a></u></p>	<p><b>Tenants and leaseholders have positive views about many aspects of the Council's Welsh Housing Quality Standard (WHQS) programme including the quality, but are less satisfied with external works, the timeliness of work, and the extent to which the Council involves them and provides information on the works:</b></p> <ul style="list-style-type: none"> <li>• the Council provides tenants and leaseholders with information about the WHQS programme, but it could better explain why the works are needed;</li> <li>• the Council could do more to ensure that the works are consistently completed on time across all areas;</li> <li>• those who have had improvement works are satisfied with the quality of internal works, but are less satisfied with external works;</li> <li>• tenants and leaseholders are involved with the works, but the Council inconsistently applies tenants' choice about electrical wiring; and</li> <li>• 85% of the tenants and leaseholders can quickly and easily contact the Council.</li> </ul>	<p>P1 To ensure that the WHQS programme meets the needs of all tenants and leaseholders the Council should;</p> <ul style="list-style-type: none"> <li>• strengthen its communication approaches with all tenants and leaseholders, taking account of the experiences of different groups of residents;</li> <li>• monitor and report compliance with the Charter for Trust to address any identified issues; and</li> <li>• analyse complaints about the WHQS programme systematically to address the root causes and share learning to improve tenants' and leaseholders' experience of the programme.</li> </ul>

Issue date	Brief description	Conclusions	Proposals for improvement
<b>Local risk-based performance audit</b>			
January 2019	<p><b>WHQS follow-up review</b> Review to determine whether the Council is addressing our 2017 statutory recommendations and has appropriate systems in place to meet the WHQS by 2020.</p> <p><a href="#"><u>Copy of the Auditor General's report</u></a></p>	<p><b>The Council has responded positively to our June 2017 WHQS report and statutory recommendations by making significant improvements and as a result we now conclude that it is likely to meet the WHQS by December 2020:</b></p> <ul style="list-style-type: none"> <li>• the Council has made significant progress in identifying the investment and resources it needs to complete its WHQS programme by the end of 2020;</li> <li>• the Council has taken steps to determine the value for money of its procurement arrangements;</li> <li>• there have been improvements to its WHQS performance reports, however, there is still inconsistent and inaccurate reporting of performance;</li> <li>• the Council now has measurable performance targets to effectively monitor the remainder of its WHQS programme;</li> <li>• the Council has strengthened its arrangements to meet its statutory landlord responsibilities; and</li> <li>• the Council has invested additional resources to enhance its WHQS programme management but still lacks a current Local Housing strategy and does not always produce comprehensive Equality Impact Assessments for key housing policy documents.</li> </ul>	<p>P1 The Council should ensure that members and tenants receive accurate WHQS performance information regularly about its progress towards achieving its 2020 programme deadline.</p> <p>P2 The Council should agree a Local Housing Strategy to set out its long-term vision for the future priorities for homes in Caerphilly.</p> <p>P3 The Council should ensure that Equality Impact Assessments are undertaken consistently.</p>

Issue date	Brief description	Conclusions	Proposals for improvement
<b>Local risk-based performance audit</b>			
March 2019	<p><b>Aligning Levers for Change: Business Improvement Portfolio Board</b></p> <p>Review providing real time constructive feedback and challenge to the Business Improvement Programme Board to help members of the Board have effective oversight of its major programmes and the strategic and co-ordinated approach to its services. Review on how effectively the Board and Programme deliver the overall vision and objectives.</p>	<p>The Council has recognised that a fresh approach to transformation is needed and Caerphilly 2022 (C2022) is therefore an opportunity not to be missed.</p> <p>The Council initiated its thinking around the C2022 programme in late 2018/early 2019 but it represents a critical opportunity for the Council to secure long-term transformation.</p> <p>The Council's initial thinking around C2022 indicates an increasing ambition for the Council's transformation agenda.</p> <p>There is an increasing realisation by the Council that its transformation agenda needs to be more ambitious and needs to align with the achievement of significant financial savings.</p>	<p>P1 Articulate the purpose, scope, vision and expected outcomes from C2022 and set out how the programme will:</p> <ul style="list-style-type: none"> <li>• support/enable the delivery of its corporate plan and wellbeing objectives;</li> <li>• help it to sustainably deliver the savings required under the medium-term financial plan; and</li> <li>• sustainably secure improvement, value for money and a return on investment to achieve improved outcomes for citizens in an economical, efficient and effective way.</li> </ul> <p>P2 Communicate its agreed vision to staff, partners, residents and other stakeholder groups as required to secure engagement and ownership.</p> <p>P3 Bring forward and prioritise specific and ambitious proposals for corporate and service transformation within the C22 programme.</p> <p>P4 Put in place robust governance and oversight arrangements for C2022. These should include as a minimum:</p> <ul style="list-style-type: none"> <li>• a clear and proportionate approach to programme management. This should set out how proposals will be tested through development of robust business cases, and how savings proposals will be developed, managed and scrutinised in this context.</li> <li>• a delivery timeline that reflects key decision points in the Council's strategic planning and budgeting cycles.</li> <li>• appropriate arrangements for oversight, scrutiny, assurance and accountability. This should include opportunities for senior officers and members to meaningfully engage with and challenge the development and delivery of transformation proposals.</li> </ul>

Issue date	Brief description	Conclusions	Proposals for improvement
<b>Local risk-based performance audit</b>			
			<p>P5 Ensure that it has both the capability and capacity to deliver the programme. This should include:</p> <ul style="list-style-type: none"> <li>• identifying and addressing skill gaps in relation to the proposed transformation initiatives;</li> <li>• ensuring the right balance between corporate control and ownership/accountability amongst service leads;</li> <li>• putting in place a corporate resource to oversee and enable programme delivery;</li> <li>• ensuring that corporate services including; finance, HR, procurement, ICT and intelligence are actively supporting, enabling and challenging programme delivery and performance outcomes.</li> <li>• continuing to draw on critical challenge, external expertise and best practice from Wales and the UK (and beyond).</li> </ul> <p>P6 Identify the measures of success and key milestones that will demonstrate to all stakeholders (public, staff, businesses, regulators) that it is on track to deliver the agreed financial, service and place-based outcomes.</p>

Issue date	Brief description	Conclusions	Proposals for improvement
<b>Local risk-based performance audit</b>			
June 2019	<p><b>Corporate Safeguarding Arrangements</b></p> <p>Review of the effectiveness of corporate safeguarding arrangements building on the study previously undertaken by the Auditor General in this area.</p> <p><a href="#"><u>Copy of the Auditor General's report</u></a></p>	<p><b>Although the Council has made some progress in addressing our previous recommendations, the Council needs to further strengthen its corporate safeguarding oversight and assurance arrangements</b></p>	<p>The review found that the Council had not fully addressed the following previous national recommendations and the Council should address these in full:</p> <p><b>R3</b> Strengthen safe recruitment of staff and volunteers by:</p> <ul style="list-style-type: none"> <li>• ensuring that Disclosure and Barring Service (DBS) checks and compliance with safe recruitment policies cover all services that come into contact with children;</li> <li>• creating an integrated corporate compliance system to record and monitor compliance levels on DBS checks; and</li> <li>• requiring safe recruitment practices amongst partners in the third sector and for volunteers who provide services commissioned and/or used by the Council which are underpinned by a contract or service level agreement.</li> </ul> <p><b>R4</b> Ensure all relevant staff, members and partners understand their safeguarding responsibilities by:</p> <ul style="list-style-type: none"> <li>• ensuring safeguarding training is mandated and coverage extended to all relevant Council service areas, and is included as standard on induction programmes;</li> <li>• creating a corporate-wide system to identify, track and monitor compliance on attending safeguarding training in all Council departments, elected members, schools, governors and volunteers; and</li> <li>• requiring relevant staff in partner organisations who are commissioned to work for the Council in delivering services to children and young people to undertake safeguarding training.</li> </ul>

Issue date	Brief description	Conclusions	Proposals for improvement
<b>Local risk-based performance audit</b>			
			<p><b>R6</b> Improve accountability for corporate safeguarding by regularly reporting safeguarding issues and assurances to scrutiny committee(s) against a balanced and Council-wide set of performance information covering:</p> <ul style="list-style-type: none"> <li>• benchmarking and comparisons with others;</li> <li>• conclusions of internal and external audit/inspection reviews;</li> <li>• service-based performance data;</li> <li>• key personnel data such as safeguarding training, and DBS recruitment checks; and</li> <li>• the performance of contractors and commissioned services on compliance with Council safeguarding responsibilities.</li> </ul> <p><b>R7</b> Establish a rolling programme of internal audit reviews to undertake systems testing and compliance reviews on the Council's safeguarding practices.</p> <p><b>R8</b> Ensure the risks associated with safeguarding are considered at both a corporate and service level in developing and agreeing risk management plans across the Council.</p>

Issue date	Brief description	Conclusions	Proposals for improvement
<b>Improvement planning and reporting</b>			
May 2018	<b>Wales Audit Office annual improvement plan audit</b> Review of the Council's published plans for delivering on improvement objectives.	The Council has complied with its statutory improvement planning duties.	None
November 2018	<b>Wales Audit Office annual assessment of performance audit</b> Review of the Council's published performance assessment.	The Council has complied with its statutory improvement reporting duties.	None
<b>Reviews by inspection and regulation bodies</b>			
No reviews by inspection and regulation bodies have taken place during the time period covered in this report.			

# Appendix 1

## Status of this report

The Local Government (Wales) Measure 2009 (the Measure) requires the Auditor General to undertake a forward-looking annual improvement assessment, and to publish an annual improvement report, for each improvement authority in Wales. Improvement authorities (defined as local councils, national parks, and fire and rescue authorities) have a general duty to 'make arrangements to secure continuous improvement in the exercise of [their] functions'.

The annual improvement assessment considers the likelihood that an authority will comply with its duty to make arrangements to secure continuous improvement. The assessment is also the main piece of work that enables the Auditor General to fulfil his duties. Staff of the Wales Audit Office, on behalf of the Auditor General, produce the annual improvement report. The report discharges the Auditor General's duties under section 24 of the Measure, by summarising his audit and assessment work in a published annual improvement report for each authority. The report also discharges his duties under section 19 to issue a report certifying that he has carried out an improvement assessment under section 18 and stating whether (as a result of his improvement plan audit under section 17) he believes that the authority has discharged its improvement planning duties under section 15.

The Auditor General may also, in some circumstances, carry out special inspections (under section 21), which will be reported to the authority and Ministers, and which he may publish (under section 22). An important ancillary activity for the Auditor General is the co-ordination of assessment and regulatory work (required by section 23), which takes into consideration the overall programme of work of all relevant regulators at an improvement authority. The Auditor General may also take account of information shared by relevant regulators (under section 33) in his assessments.



# Appendix 2

## Annual Audit Letter

**Reference** CCBC/AAL/1718

**Date** 3 September 2018

Councillor D Poole  
Leader  
Caerphilly County Borough Council  
Penallta House  
Tredomen Park  
Ystrad Mynach  
CF82 7PG

Cc: Ms Christina Harrhy

Dear Councillor Poole

### **Annual Audit Letter – Caerphilly County Borough Council 2017-18**

This letter summarises the key messages arising from our statutory responsibilities under the Public Audit (Wales) Act 2004 and our reporting responsibilities under the Code of Audit Practice.

#### **The Council complied with its responsibilities relating to financial reporting and use of resources**

It is the Council's responsibility to:

- put systems of internal control in place to ensure the regularity and lawfulness of transactions and to ensure that its assets are secure;
- maintain proper accounting records;
- prepare a Statement of Accounts in accordance with relevant requirements; and
- establish and keep under review appropriate arrangements to secure economy, efficiency and effectiveness in its use of resources.

The Public Audit (Wales) Act 2004 requires us to:

- provide an audit opinion on the accounting statements;
- review the Council's arrangements to secure economy, efficiency and effectiveness in its use of resources; and
- issue a certificate confirming that we have completed the audit of the accounts.

Local authorities in Wales prepare their accounting statements in accordance with the requirements of the CIPFA/LASAAC Code of Practice on Local Authority Accounting in the United Kingdom. This Code is based on International Financial Reporting Standards.

On 2 August 2018 we issued an unqualified audit opinion on the accounting statements confirming that they present a true and fair view of the Council's financial position and transactions. Our report is contained within the Statement of Accounts. The key matters arising from the accounts audit were reported to members of the Audit Committee in our Audit of Financial Statements report on the 24 July 2018.

- the Council continues to demonstrate effective arrangements in the preparation of its financial statements, enabling the Council to approve the audited financial statements on 31 July 2018, significantly ahead of the statutory deadline of 30 September. The Council has continued to provide good quality draft financial statements and accompanying working papers for audit. These were available for us to commence our audit fieldwork in line with the agreed timetable.
- there were a number of misstatements that were corrected by management. All disclosure corrections were processed with no effect on the Council's reported outturn position.
- we identified a number of recommendations relating to Internal Audit, the Annual Governance Statement, journal controls and depreciation. A number of these issues have been reported in prior years.

**We are satisfied that the Council has appropriate arrangements in place to secure economy, efficiency and effectiveness in its use of resources**

Our consideration of the Council's arrangements to secure economy, efficiency and effectiveness has been based on the audit work undertaken on the accounts as well as placing reliance on the work completed under the Local Government (Wales) Measure 2009. The Auditor General will highlight areas where the effectiveness of these arrangements has yet to be demonstrated or where improvements could be made when he publishes his Annual Improvement Report.

**We issued a certificate confirming that the audit of the accounts was completed on 2 August 2018.**

**Our work to date on certification of grant claims and returns has not identified significant issues that would impact on the 2017-18 accounts or key financial systems.**

A more detailed report on our grant certification work will follow in January 2019 once this year's programme of certification work is complete.

The financial audit fee for 2017-18 is currently expected to be in line with the agreed fee set out in the Annual Audit Plan.

Yours sincerely

*Grant Thornton UK LLP*

**Grant Thornton UK LLP  
For and on behalf of the Auditor General for Wales**

# Appendix 3

## National report recommendations 2018-19

Exhibit 3: national report recommendations 2018-19

Date of report	Title of review	Recommendation
October 2018	<u><a href="#">Procuring Residual and Food Waste Treatment Capacity</a></u>	<p><b>R1</b> The projections for the three residual waste projects in the Programme assume that, across the 14 councils involved, the overall amount of residual waste will increase through the lifetime of the contracts. If these projections are accurate then something significant would have to occur beyond 2040 to reach zero waste across these council areas by 2050. If the projections are not accurate then there is the risk that councils will pay for capacity they do not need. <b>We recommend that the Welsh Government:</b></p> <ul style="list-style-type: none"> <li>• in reviewing the Towards Zero Waste strategy, considers how its ambition of there being no residual waste by 2050 aligns with current projections for residual waste treatment; and</li> <li>• works with councils to consider the impact of changes in projections on the likely cost of residual waste projects and any mitigating action needed to manage these costs.</li> </ul>

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Date of report	Title of review	Recommendation
		<p><b>R2</b> The Welsh Government's programme support to date has mainly focused on project development and procurement. Now that most of the projects are operational, the focus has shifted to contract management. <b>We recommend that the Welsh Government continue its oversight of projects during the operational phase by:</b></p> <ul style="list-style-type: none"> <li>• <b>building on its existing model of providing experienced individuals to assist with project development and procurement and making sure input is available to assist with contract management if required;</b></li> <li>• <b>setting out its expectations of councils regarding contract management;</b></li> <li>• <b>ensuring partnerships revisit their waste projections and associated risks periodically, for example to reflect updated population projections or economic forecasts; and</b></li> <li>• <b>obtaining from partnerships basic management information on gate fees paid, amount of waste sent to facilities and quality of contractor service.</b></li> </ul>

Date of report	Title of review	Recommendation
November 2018	<u><a href="#">Local Government Services to Rural Communities</a></u>	<p><b>R1</b> Socio economic change, poor infrastructure and shifts in provision of key services and facilities have resulted in the residualisation of communities in rural Wales. <b>We recommend that Welsh Government support public bodies to deliver a more integrated approach to service delivery in rural areas by:</b></p> <ul style="list-style-type: none"> <li>• <b>refreshing rural grant programmes to create sustainable financial structures, with multi-year allocations; and</b></li> <li>• <b>helping people and businesses make the most of digital connectivity through targeted and more effective business and adult education support programmes.</b></li> </ul> <p><b>R2</b> The role of Public Service Boards is evolving but there are opportunities to articulate a clearer and more ambitious shared vision for rural Wales (see paragraphs 2.2 to 2.9 and 2.28 to 2.31). <b>We recommend that PSB public service partners respond more effectively to the challenges faced by rural communities by:</b></p> <ul style="list-style-type: none"> <li>• <b>assessing the strengths and weaknesses of their different rural communities using the Welsh Government’s Rural Proofing Tool and identify and agree the local and strategic actions needed to support community sustainability; and</b></li> <li>• <b>ensuring the Local Well-Being Plan sets out a more optimistic and ambitious vision for ‘place’ with joint priorities co-produced by partners and with citizens to address agreed challenges.</b></li> </ul>

Date of report	Title of review	Recommendation
		<p><b>R3</b> To help sustain rural communities, public services need to think differently in the future (see paragraphs 3.1 to 3.12). <b>We recommend councils provide a more effective response to the challenges faced by rural communities by:</b></p> <ul style="list-style-type: none"> <li>• <b>ensuring service commissioners have cost data and qualitative information on the full range of service options available; and</b></li> <li>• <b>using citizens' views on the availability, affordability, accessibility, adequacy and acceptability of council services to shape the delivery and integration of services.</b></li> </ul>

Date of report	Title of review	Recommendation
		<p><b>R4</b> To help sustain rural communities, public services need to act differently in the future (see paragraphs 3.1 to 3.12). <b>We recommend councils do more to develop community resilience and self-help by:</b></p> <ul style="list-style-type: none"> <li>• working with relevant bodies such as the Wales Co-operative Centre to support social enterprise and more collaborative business models;</li> <li>• providing tailored community outreach for those who face multiple barriers to accessing public services and work;</li> <li>• enhancing and recognising the role of town and community councils by capitalising on their local knowledge and supporting them to do more;</li> <li>• encouraging a more integrated approach to service delivery in rural areas by establishing pan-public service community hubs, networks of expertise, and clusters of advice and prevention services;</li> <li>• enabling local action by supporting community asset transfer identifying which assets are suitable to transfer, and having the right systems in place to make things happen; and</li> <li>• improving community-based leadership by developing networks of interest, training and coaching, and encouraging volunteering.</li> </ul>

Date of report	Title of review	Recommendation
November 2018	<b><u>Waste Management in Wales: Municipal Recycling</u></b>	<p><b>R1</b> Benchmarking work has found that the cost of certain waste management services shows surprising variation (paragraphs 1.31-1.39). <b>The Welsh Government should work with councils to understand better the basis of the variation in spending on waste management services that are fundamentally the same and ensure that waste management costs are accounted for in a consistent way.</b></p> <p><b>R2</b> The Welsh Government believes that, if applied optimally, its Collections Blueprint offers the most cost-effective overall means of collecting recyclable resources but is planning further analysis (paragraphs 1.40-1.51). <b>When undertaking its further analysis to understand better the reasons for differences in councils' reported costs, and the impact on costs where councils have adopted the Collections Blueprint, we recommend that the Welsh Government:</b></p> <ul style="list-style-type: none"> <li>• explores how the cost of collecting dry recyclables may affect the overall cost of providing kerbside waste management services to households; and</li> <li>• compares the actual costs with the costs modelled previously as part of the Welsh Government-commissioned review of the Collections Blueprint for councils that now operate the Collections Blueprint.</li> </ul>



Date of report	Title of review	Recommendation
		<p><b>R3</b> The Welsh Government has undertaken to consider alternatives to the current weight-based recycling targets which can better demonstrate the delivery of its ecological footprint and carbon reduction goals (paragraphs 2.38-2.45). <b>We recommend that the Welsh Government replace or complement the current target to recycle, compost and reuse wastes with performance measures to refocus recycling on the waste resources that have the largest impact on carbon reduction, and/or are scarce. We recognise that the Welsh Government may need to consider the affordability of data collection for any alternative means of measurement.</b></p> <p><b>R4</b> In refreshing Towards Zero Waste, the Welsh Government needs to show that wider sustainability benefits sought through municipal recycling offer value and cannot be more readily attained in other ways and at lower cost including, but not necessarily limited to, other waste management interventions (paragraphs 2.52-2.53). <b>The Welsh Government should demonstrate in the revised waste strategy that not only is it possible to recycle a greater proportion of municipal waste, but how doing so maximises its contribution to achieving its sustainable development objectives.</b></p>

Date of report	Title of review	Recommendation
November 2018	<u><b>Provision of Local Government Services to Rural Communities: Community Asset Transfer</b></u>	<p><b>R1</b> Local authorities need to do more to make CATs (Community Asset Transfers) simpler and more appealing, help build the capacity of community and town councils, give them more guidance in raising finance, and look to support other community development models such as social enterprises that support social value and citizen involvement. <b>In addition, we recommend that local authorities monitor and publish CAT numbers and measure the social impact of CATs.</b></p> <p><b>R2</b> Local authorities have significant scope to provide better and more visible help and support before, during, and after the community asset transfer process. <b>We conclude that there is considerable scope to improve the business planning, preparation, and aftercare for community asset transfer. We recommend that local authorities:</b></p> <ul style="list-style-type: none"> <li>• <b>identify community assets transfer's role in establishing community hubs, networks of expertise and clusters of advice and prevention services;</b></li> <li>• <b>work with town and community councils to develop their ability to take on more CATs;</b></li> <li>• <b>identify which assets are suitable to transfer, and clarify what the authority needs to do to enable their transfer;</b></li> <li>• <b>ensure their CAT policy adequately covers aftercare, long term support, post transfer support, signposting access to finance, and sharing the learning about what works well; and</b></li> <li>• <b>support community-based leadership by developing networks of interest, training and coaching, and encouraging volunteering.</b></li> </ul>

Date of report	Title of review	Recommendation
December 2018	<u><a href="#">The maturity of local government in use of data</a></u>	<p><b>R1 Part 1 of the report highlights the importance of creating a strong data culture and clear leadership to make better use of data. We recommend that local authorities:</b></p> <ul style="list-style-type: none"> <li>• have a clear vision that treats data as a key resource;</li> <li>• establish corporate data standards and coding that all services use for their core data;</li> <li>• undertake an audit to determine what data is held by services and identify any duplicated records and information requests; and</li> <li>• create a central integrated customer account as a gateway to services.</li> </ul> <p><b>R2 Part 2 of the report notes that whilst it is important that authorities comply with relevant data protection legislation, they also need to share data with partners to ensure citizens receive efficient and effective services. Whilst these two things are not mutually exclusive, uncertainty on data protection responsibilities is resulting in some officers not sharing data, even where there is agreement to provide partners with information. We recommend that authorities:</b></p> <ul style="list-style-type: none"> <li>• provide refresher training to service managers to ensure they know when and what data they can and cannot share; and</li> <li>• review and update data sharing protocols to ensure they support services to deliver their data sharing responsibilities.</li> </ul>

Date of report	Title of review	Recommendation
		<p><b>R3</b> In Part 3 of our report, we conclude that adequate resources and sufficient capacity are ongoing challenges. However, without upskilling staff to make better use of data, authorities are missing opportunities to improve their efficiency and effectiveness. We recommend that authorities:</p> <ul style="list-style-type: none"> <li>• identify staff who have a role in analysing and managing data to remove duplication and free up resources to build and develop capacity in data usage; and</li> <li>• invest and support the development of staff data analytical, mining and segmentation skills.</li> </ul> <p><b>R4</b> Part 4 of our report highlights that authorities have more to do to create a data-driven decision-making culture and to unlock the potential of the data they hold. We recommend that local authorities:</p> <ul style="list-style-type: none"> <li>• set data reporting standards to ensure minimum data standards underpin decision making; and</li> <li>• make more open data available.</li> </ul>

Date of report	Title of review	Recommendation
March 2019	<u><b>Waste Management in Wales - Preventing waste</b></u>	<p><b>R1 Increasing the focus on waste prevention to reflect the overall aims of Towards Zero Waste</b></p> <p>Available data on the amount of waste produced suggests mixed progress to deliver the Welsh Government's waste prevention targets. We recommend that the Welsh Government:</p> <ul style="list-style-type: none"> <li>a) revisits the relative priority it gives to recycling and waste prevention as part of its review of Towards Zero Waste;</li> <li>b) sets out clearly the expectations on different organisations and sectors for waste prevention; and</li> <li>c) revisits its overall waste prevention targets and the approach it has taken to monitor them in light of progress to date, examples from other countries and in the context of current projections about waste arising through to 2050.</li> </ul> <p><b>R2 Improving data on commercial, industrial, construction and demolition waste</b></p> <p>The Welsh Government is a partner in initial work to assess the feasibility of developing a new digital solution to track all waste. If this preferred option does not succeed, we recommend that the Welsh Government works with Natural Resources Wales to explore the costs and benefits of other options to improve non-municipal waste data in Wales, including additional powers to require waste data from businesses.</p>

Date of report	Title of review	Recommendation
		<p><b>R3 Enhancing producer responsibility and using more legal, financial and fiscal levers</b></p> <p>The Welsh Government has opportunities to influence waste prevention through legislation and financial incentives. It can also influence changes at the UK level where fiscal matters are not devolved. We recommend that the Welsh Government consider whether provisions to extend producer responsibility and the use of financial powers such as grant conditions, fiscal measures and customer charges and incentives, are needed to promote and to prioritise waste prevention.</p>
June 2019	<p><b><u>The Effectiveness of Local Planning Authorities in Wales</u></b></p>	<p><b>R1</b> Part 1 of the report sets out the complexities of the planning system showing how challenging it is for local planning authorities to effectively engage with and involve stakeholders in choices and decisions. <b>To improve involvement with stakeholders and ownership of decisions we recommend that:</b></p> <ul style="list-style-type: none"> <li>• <b>local planning authorities:</b> <ul style="list-style-type: none"> <li>– <b>test current engagement and involvement practices and consider the full range of other options available to ensure involvement activities are fit for purpose;</b></li> <li>– <b>use ‘Place Plans’ as a vehicle to engage and involve communities and citizens in planning choices and decision making; and</b></li> <li>– <b>improve transparency and accountability by holding planning meetings at appropriate times, rotating meetings to take place in areas which are subject to proposed development, webcasting meetings and providing opportunities for stakeholders to address committee meetings.</b></li> </ul> </li> <li>• <b>the Welsh Government:</b> <ul style="list-style-type: none"> <li>– <b>review the Development Management Procedure Order 2012 and update the engagement and involvement standards for local planning authorities.</b></li> </ul> </li> </ul>

Date of report	Title of review	Recommendation
		<p><b>R2</b> Part 2 of the report highlights that local planning authorities have been subject to significant reductions in funding and struggle to deliver their statutory responsibilities. <b>To improve resilience, we recommend that local planning authorities:</b></p> <ul style="list-style-type: none"> <li>• <b>review their building control fee regimes to ensure the levels set better reflect the actual cost of providing these services and make the service self-funding; and</b></li> <li>• <b>improve capacity by working regionally to:</b> <ul style="list-style-type: none"> <li>– <b>integrate services to address specialism gaps;</b></li> <li>– <b>develop joint supplementary planning guidance; and</b></li> <li>– <b>develop future local development plans regionally and in partnership with other local planning authorities.</b></li> </ul> </li> </ul> <p><b>R3</b> Part 2 of the report highlights that the cost of development control services is not reflected in the charges set for these services and progress in developing regional responses to strengthen resilience has been slow. <b>We recommend that the Welsh Government:</b></p> <ul style="list-style-type: none"> <li>• <b>review development control fees to ensure the levels set, better reflect the actual cost of providing these services; and</b></li> <li>• <b>consider how to use the powers in the Planning (Wales) Act to support and improve local planning authority capacity and resilience.</b></li> </ul>

Date of report	Title of review	Recommendation
		<p><b>R4</b> Part 3 of the report summarises the effectiveness and impact of local planning authorities' decision making and how well they are performing against national measures. <b>We recommend that local planning authorities improve the effectiveness of planning committees by:</b></p> <ul style="list-style-type: none"> <li>• reviewing their scheme of delegation to ensure planning committees are focussed on the most important strategic issues relevant to their authority;</li> <li>• revising reporting templates to ensure they are clear and unambiguous to help guide decision making and reduce the level of officer recommendations overturned; and</li> <li>• enforcing the local planning authorities' standards of conduct for meetings.</li> </ul> <p><b>R5</b> Part 4 of the report identifies the central role of planning to deliver the ambitions of the Well-being of Future Generations Act. <b>We recommend that local planning authorities:</b></p> <ul style="list-style-type: none"> <li>• set a clear ambitious vision that shows how planning contributes to improving wellbeing;</li> <li>• provide planning committee members with regular and appropriate wellbeing training and support to help deliver their wider responsibilities;</li> <li>• set appropriate measures for their administration of the planning system and the impact of their planning decisions on wellbeing; and</li> <li>• annually publish these performance measures to judge planning authorities' impact on wellbeing.</li> </ul>





Wales Audit Office  
24 Cathedral Road  
Cardiff CF11 9LJ

Tel: 029 2032 0500

Fax: 029 2032 0600

Textphone: 029 2032 0660

E-mail: [info@audit.wales](mailto:info@audit.wales)

Website: [www.audit.wales](http://www.audit.wales)

Swyddfa Archwilio Cymru  
24 Heol y Gadeirlan  
Caerdydd CF11 9LJ

Ffôn: 029 2032 0500

Ffacs: 029 2032 0600

Ffôn testun: 029 2032 0660

E-bost: [post@archwilio.cymru](mailto:post@archwilio.cymru)

Gwefan: [www.archwilio.cymru](http://www.archwilio.cymru)



## **COUNCIL – 19TH NOVEMBER 2019**

**SUBJECT: RECRUITMENT FOR THE POST OF CHIEF EXECUTIVE**

**REPORT BY: CORPORATE DIRECTOR – EDUCATION & CORPORATE SERVICES**

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### **1. PURPOSE OF REPORT**

- 1.1 The Council is required by law to have in place a Head of Paid Service. Within the Council, this responsibility is designated to the Chief Executive.
- 1.2 The purpose of the report is for Council to agree the recruitment of a permanent Chief Executive.

### **2. SUMMARY**

- 2.1 Council, at the meeting held on 3<sup>rd</sup> October 2019, made the decision to dismiss the former Chief Executive from the employment of the Council with effect from that date.
- 2.2 The Council is now able to recruit a permanent Chief Executive and this report makes recommendations in this regard in accordance with Council policies.

### **3. RECOMMENDATIONS**

- 3.1 Council is asked to agree:
  - 3.1.1 The recruitment of a permanent Chief Executive on the salary range agreed within the Pay Policy 2019 – 20.
  - 3.1.2 That the salary range is updated to include the national pay awards for 2016 and 2018. Both pay awards covered a period of 2 years and were 1% in each year.
  - 3.1.3 The job description and person specification attached at Appendix A.
  - 3.1.4 The post is externally advertised in January 2020.

#### **4. REASONS FOR THE RECOMMENDATIONS**

- 4.1 The recommendations are made:
  - 4.1.1 To ensure the Council complies with its statutory requirements to have in place a Head of Paid Service.
  - 4.1.2 To ensure the Council has effective stable leadership to provide ongoing continuity for the organisation to deliver services to the residents of the County Borough.
  - 4.1.3 To update the Chief Executive salary range in accordance with the JNC National pay awards for Chief Executives.

#### **5. THE REPORT**

- 5.1 Members will be aware that interim arrangements have been in place for the position of Chief Executive since March 2013, whilst the substantive Chief Executive was not in the workplace.
- 5.2 Council, at the meeting held on 3<sup>rd</sup> October 2019, made the decision to dismiss the former Chief Executive from the employment of the Council with effect from that date. Council is, therefore, now in a position to consider the appointment of a permanent Chief Executive.
- 5.3 The current Interim Chief Executive's contract was extended until 31<sup>st</sup> March 2020, by Council at the meeting held on 4<sup>th</sup> June 2019.
- 5.4 In addition to the operational service delivery, the Council has just commenced an ambitious transformation programme. The Council is also engaged in several significant projects, including the Cardiff Capital Region City Deal, Twenty First Century Schools and management of the Medium Term Financial Plan. It is now appropriate for the Council to appoint to the post of Chief Executive on a permanent basis.
- 5.5 The Local Government and Housing Act 1989 requires a relevant Local Authority to designate an officer as Head of Paid Service. Within the Council, this responsibility is designated to the Chief Executive.
- 5.6 The Council's constitution determines that full Council will make the appointment of the Head of Paid Service following the recommendation of a short list for such an appointment by the Appointments Committee.
- 5.7 The Council's Pay Policy 2019 – 20 was agreed at the Council meeting on 5<sup>th</sup> March 2019. The Chief Executive salary range was confirmed in this Policy as:

	Increment 1	Increment 2	Increment 3	Increment 4
Chief Executive	143,949	149,275	154,602	159,944

- 5.8 The salary of the current and the previous Interim Chief Executives have been frozen on the minimum point of the salary range.

- 5.9 There have been 2 JNC national pay awards in recent years for Chief Executives in 2016 and 2018. Both pay awards covered a period of 2 years and were 1% in each year. Unlike national pay awards for NJC staff and Chief Officers, any national pay award for the Chief Executive currently requires the consent of full Council. The Council approved the payment of the 2016 award to the Interim Chief Executive and the salary range was amended to reflect this. The pay award for the following years was not presented to Council to agree.
- 5.10 The Council employs a Chief Executive under JNC terms and conditions which are incorporated into their contract. The JNC for Chief Executives negotiates on national (UK) annual cost of living pay increases for this group, and any pay award is determined on this basis. Chief Executives employed under JNC terms and conditions are contractually entitled to any national JNC determined pay rises. The Council is therefore asked to agree the pay awards as detailed in paragraph 5.9, which would lead to the salary range for the Chief Executive being as follows:

	Increment 1	Increment 2	Increment 3	Increment 4
Chief Executive	148,311	153,798	159,287	164,790

- 5.11 As this post attracts a salary of £100,000 or more it is governed by the Local Authorities (Standing Orders) (Wales) Regulations 2006 as amended and must be externally advertised.
- 5.12 Any variation to a Chief Officer salary, where that salary is over £100k needs to be referred to the Independent Remuneration Panel for Wales (the IRPW). Whilst the Council does not need to refer to the IRPW if they do not change the salary agreed in the Pay Policy, Members are asked to note that the Council has advised the IRPW of the recommendation within this report to advertise for a permanent Chief Executive on the agreed salary range, including the national pay award.
- 5.13 Members will be aware that the Council's Chief Executive also undertakes the statutory role of Returning Officer. The salary for the post does not include the fees for elections. Fees for non-local elections are set and agreed by the Cabinet Office/Welsh Government over which the Council has no jurisdiction.
- 5.14 Part 4 of the Council's constitution entitled 'Rules and Procedures' determines under the section 'Officer Employment Procedure Rules' and heading 'Recruitment of Chief Officers (including Head of Paid Service) that:

*2.1 The Council must take the steps set out in paragraph 2.2 below where*

*(a) it proposes to appoint a Chief Officer and the remuneration which it proposes to pay to the chief officer is £100,000 or more per annum.*

*2.2 The steps are to*

*(a) draw up a statement specifying:*

*(i) the duties of the officer concerned; and*

*(ii) any qualifications or qualities to be sought in the person to be appointed;*

*(b) make arrangements for the post to be publicly advertised in such a way as is likely to bring it to the attention of persons who are qualified to apply for it; and*

*(c) make arrangements for a copy of the statement mentioned in paragraph 2.2 (a) above to be sent to any person on request.*

*2.3 Where paragraph 2.1 applies the Council is not required to take the step set out in paragraph 2.2 (b) if it proposes to appoint the Chief Officer for a period of no longer than 12 months.*

*2.4 Where a post has been advertised as provided in paragraph 2.2 (b) above, the Council must:-*

*(a) interview all qualified applicants for the post, or*

*(b) select a short list of such qualified applicants and interview those included on the short list.*

- 5.15 Accordingly, the job description and person specification for the post are attached at Appendix A for Members agreement.
- 5.16 Following this meeting, the recruitment will commence in January 2020. As stated in paragraph 5.11, the post must be advertised nationally and will therefore be placed in relevant journals, on the Council's website and forwarded to WLGA for circulation.
- 5.17 If Council agree the recommendations in this report, the Appointments Committee will be convened to agree the advert and recruitment exercises. The Appointments Committee will also agree the initial shortlist of candidates to be invited to undertake the recruitment exercises and following this, the recommended shortlist to Council.
- 5.18 Members are asked to note that Welsh Government have been notified of the recommendation to Council to advertise for a permanent Chief Executive.
- 5.19 **Conclusion**

The Council is required by law to have in place a Head of Paid Service and the Council has designated this responsibility to the Chief Executive. Appointing to the post on a permanent basis ensures that the Council complies with its statutory requirements to have in place a Head of Paid Service.

## **6. ASSUMPTIONS**

- 6.1 There are no assumptions made within this report.

## **7. LINKS TO RELEVANT COUNCIL POLICIES**

- 7.1 The recommendation within this report supports the achievement of the Council's strategic aims, as the Chief Executive is responsible for the provision of strategic direction to the Council, securing the preparation of budgets that reflect the priorities of the Council, presenting policy options and leading on specific corporate policies, programmes and projects as appropriate.

## 7.2 **Corporate Plan 2018-2023.**

The appointment of a permanent Chief Executive will ensure that the six Well-being objectives (detailed below) included within the Corporate Plan 2018 – 2023 will continue to be reviewed routinely with public consultation to ensure that they remain relevant:

Objective 1 - Improve education opportunities for all

Objective 2 - Enabling employment

Objective 3 - Address the availability, condition and sustainability of homes throughout the county borough and provide advice, assistance or support to help improve people's well-being

Objective 4 - Promote a modern, integrated and sustainable transport system that increases opportunity, promotes prosperity and minimises the adverse impacts on the environment

Objective 5 - Creating a county borough that supports a healthy lifestyle in accordance with the sustainable Development Principle within the Wellbeing of Future Generations (Wales) Act 2015

Objective 6 - Support citizens to remain independent and improve their well-being

## **8. WELL-BEING OF FUTURE GENERATIONS**

- 8.1 This report supports the five ways of working as defined within the sustainable development principle in the Act as the Chief Executive has authority over all other Officers to ensure the effective and efficient implementation of Council policies and service delivery to the community and to monitor performance.

## **9. EQUALITIES IMPLICATIONS**

- 9.1 The report does not raise any equality issues. All relevant equalities legislation is adhered to within the Council's recruitment process.

## **10. FINANCIAL IMPLICATIONS**

- 10.1 The current Chief Executive salary range approved in March 2019 as part of the Pay Policy 2019 / 20 is funded from the Council's core revenue budget at the first incremental point.
- 10.2 If Council agrees incremental progression in accordance with the salary range as agreed for the Pay Policy 2019 / 20, the additional cost at the top of the grade including oncosts is £21,753 per annum.
- 10.3 Should Council agree the national pay awards as detailed in paragraph 5.10 there will be a further additional cost of £6,591.

- 10.4 The total additional cost including oncosts for incremental progression and the national pay awards is £28,344 at the top of the grade.
- 10.5 There is a current sum of £19,010 in the Corporate Management Team staffing budget for an additional payment for a Deputy Chief Executive. As the Council now has 3 Corporate Directors rather than 1 Deputy Chief Executive and 2 Corporate Directors this budget is currently not being utilised.
- 10.6 If Members agree the recommendations to recruit to the post on the salary range agreed in the Pay Policy 2019 / 20 and with the national pay awards, the budget of £19,010 in respect of a Deputy Chief Executive can be used to partially offset the additional cost.
- 10.7 This would leave a residual additional cost of £9,334 that would need to be incorporated into the Final 2020/21 Budget Proposals report in February 2020 alongside all other pay and non-pay inflationary pressures.

## **11. PERSONNEL IMPLICATIONS**

- 11.1 The personnel implications are included in this report.

## **12. CONSULTATIONS**

- 12.1 All consultation responses have been incorporated in the report.

## **13. STATUTORY POWER**

- 13.1 Local Government Acts 1972 and 2000  
Local Government and Housing Act 1989  
Local Authorities (Standing Orders) (Wales) Regulations 2006 as amended  
Local Government (Wales) Bill 2015

Author: Lynne Donovan, Head of People Services

Consultees: Cllr Barbara Jones, Interim Leader  
Cllr Sean Morgan, Deputy Leader  
Cllr Colin Gordon, Cabinet Member for Corporate Services  
Cllr Colin Mann, Leader of Plaid Cymru  
Richard Edmunds, Corporate Director – Education & Corporate Services  
Robert Tranter, Head of Legal Services and Monitoring Officer  
Stephen Harris, Interim Head of Business Improvement Services and Acting S151 Officer

Appendices:

Appendix A Chief Executive Job Description and Person Specification



## Appendix A

**Job Description and Person Specification****POST IDENTIFICATION**

<b>Post Title:</b>	Chief Executive
<b>Grade:</b>	Chief Executive Hay Grade
<b>Location:</b>	Penallta House
<b>Responsible to:</b>	The Council

**JOB PURPOSE**

The Council has delegated the function of Head of Paid Service to the Chief Executive. The postholder has authority over all other Officers and to be the principal advisor to the Council on all matters of general policy, to ensure the effective and efficient implementation of Council policies and service delivery and to monitor performance.

**KEY RESULT AREAS**

To deliver the Council's key corporate and partnership priorities as set out in the Corporate Plan 2018 – 2023.

To work in partnership with Elected Members and provide strategic leadership, advice and direction to the Council to deliver its strategic direction, vision and values.

As Head of Paid Service, to lead the Council's Corporate Management Team in delivering its strategic and statutory obligations.

As the Council's principal policy advisor, ensure delivery of high quality services in accordance with the Corporate Plan 2018 - 2023.

**DETAILED TASK PROFILE**

To lead, motivate and inspire the Council's employees to deliver high quality and cost effective services, which meet the identified needs of the community and are in line with the Council's identified vision, mission, objectives and priorities.

To lead the Council's Corporate Management Team to ensure a clear strategic direction incorporating a coordinated, united corporate approach to service provision, employment practices and equal opportunities is adopted.

To provide strategic direction to the Council, securing the preparation of budgets that reflect the priorities of the Council, presenting policy options and leading on specific corporate policies, programmes and projects as appropriate.

To ensure that the Council has robust governance, regulatory systems and controls in place to deliver services, strategies and outcomes.

To ensure the preparation, implementation and monitoring of integrated short and long-term

plans to meet the social, economic and environmental needs of the Council area in line with the Corporate Plan 2018 – 2023.

To monitor and review the health and performance of the Authority through the use of effective performance management systems including the setting and reviewing of targets and performance standards, monitoring performance and group and individual assessments.

To keep under review the organisation and administration of the Council in the light of changing demands being made on it and available resources, where necessary to bring forward options and recommendations for change.

To manage such staff and activities allocated to the Chief Executive.

In liaison with Members and Directors, to promote –

- A commitment at all levels of the Authority to the ethos of a caring responsive public service.
- A commitment to equal opportunities both in terms of service delivery and employment.
- Effective and equitable human resources policies, including training and development, motivation and job satisfaction and a good, safe, healthy working environment.
- Good employee and trade union relations.

To ensure that the political will of the Council is communicated to and understood by all employees and implemented within the constraints of propriety, legality and available resources; to work in close liaison with Members in carrying out these responsibilities.

To ensure that effective and efficient support mechanisms are in place to enable Members to fulfil their various roles.

In conjunction with the Monitoring Officer, to be responsible for a system of record keeping of all the Authority's decisions (executive or otherwise).

When required by the Council's policies and procedures, to hear and determine any relevant appeals.

In pursuit of the Council's interests, to foster links with and act as advocate for the Council in the local community, with external partners, other Local Authorities, Welsh Government, other public bodies and the private sector.

To assist the Mayor in the performance of civic and other appropriate functions and to attend as necessary on such occasions.

To act as Co-ordinator for the Council's Emergency Plan for major disasters.

To be the Returning Officer for all Elections held within the County Borough and to be responsible for the Electoral Registration Service.

To act as the Proper Officer in relation to the Registrar of Births, Deaths and Marriages Service.

Any other duties commensurate with this role.

## RESPONSIBILITIES FOR STAFF

### Post Titles, Numbers and Level of Accountability

The postholder has direct line management responsibility for 3 Corporate Directors.

## RESPONSIBILITIES FOR RESOURCES

### Financial; Plant; Buildings or Equipment

Approximately 8,800 employees  
Net Revenue budget £337 million  
General Fund Capital £69 million  
Housing Revenue Account £49 million  
WHQS Capital £45 million

## ADDITIONAL REQUIREMENTS

<b>Politically Restricted:</b>	Yes
<b>Disclosure and Barring Service Check:</b>	No
<b>Barred List Check:</b>	No

## ORGANISATIONAL RESPONSIBILITIES

Understands and demonstrates the principles of confidentiality.

Work within the policies and procedures of the Council including recognising the duty to protect vulnerable adults, children and young people.

Understands and demonstrates commitment to the Council's policies.

Demonstrate commitment to ongoing personal development.

**The duties and responsibilities are difficult to define in detail and may vary from time to time without changing the general character of the duties or level of responsibilities entailed. The post-holder is therefore expected to undertake such other duties as may be requested provided the general character of the duties or level of responsibility does not change.**

**PERSON SPECIFICATION**

	<b>ESSENTIAL</b>	<b>DESIRABLE</b>
<b>QUALIFICATIONS</b>	<p>Professional degree qualification in a relevant discipline.</p> <p>Relevant management qualification.</p>	
<b>KNOWLEDGE</b>	<p>A thorough understanding of future developments in local government in both Wales and UK.</p> <p>A detailed understanding of Welsh Government's role.</p> <p>Political awareness and sensitivity.</p> <p>Ability to translate the aspirations of the community into appropriate policy, strategies and actions.</p> <p>Detailed knowledge of local government finance.</p> <p>A thorough understanding of the principles of Good Corporate Governance, and the standards and integrity required to lead an organisation in a Public Setting</p> <p>An appreciation of the role of performance management to improve and develop services.</p>	
<b>SKILLS</b>	<p>Have a modern approach to leadership, team working, customer service and change management and specifically:-</p> <ul style="list-style-type: none"> <li>• Managerial skills</li> <li>• Networking skills</li> <li>• Proficient business orientation</li> <li>• Team building skills</li> </ul> <p>The ability to assess priorities, devise solutions and make sound decisions, which may be difficult</p>	<p>Welsh language skills</p>

	ESSENTIAL	DESIRABLE
	<p>and involve risks.</p> <p>Have political sensitivity and be able to maintain impartiality, whilst managing political relationships across all parties.</p> <p>To inspire and operate a united corporate approach.</p> <p>Ability to motivate and empower others whilst encouraging responsibility and accountability.</p> <p>Demonstrate an aptitude to build and lead a strong, highly committed, loyal senior management team.</p> <p>Ability to provide a strategic vision and a clear strategic direction.</p> <p>Set appropriate standards of behaviour for the organisation and demonstrate integrity.</p> <p>Skilled communicator, both orally and in writing to a wide range of audiences, utilising different media.</p> <p>Ability to work under pressure and meet tight time-scales.</p> <p>Ability to negotiate with and influence others.</p>	
EXPERIENCE	<p>Proven track record in leadership and senior management experience at a corporate level.</p> <p>Success in leading major change programmes.</p> <p>Establishing partnership working with a wide range of service users, public and private organisations.</p> <p>Ability to raise the profile of the Organisation and its work through</p>	<p>Wider management experience in the local government sector.</p> <p>Developing, implementing and managing a corporate strategy.</p>

	ESSENTIAL	DESIRABLE
	<p>a variety of mediums.</p> <p>Proven ability to achieve demanding targets.</p>	
OTHER	<p>Commitment to the ethos of a democratically accountable Service.</p> <p>Ability to work in a confidential environment.</p> <p>Understanding of and commitment to Equality and Diversity.</p> <p>Demonstrate vision, motivation, commitment and initiative.</p>	

**POST AUTHORISATION**

**COUNCIL:**

**DATE:**